# Initial $A\beta$ seeds as the rapeutic target for Alzheimer's disease

### Dissertation

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Juliane Schelle aus Berlin, Deutschland

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Dekan der Math.-Nat. Fakultät: Prof. Dr. W. Rosenstiel

Dekan der Medizinischen Fakultät: Prof. Dr. I. B. Autenrieth

1. Berichterstatter: Prof. Dr. M. Jucker

2. Berichterstatter: Prof. Dr. P. Heutink

Prüfungskommission: Prof. Dr. M. Jucker

Prof. Dr. P. Heutink

Dr. J. Neher

Dr. D. David

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<u>Für meine Famili</u>	e, Freunde und Ho	unsi	
"Erinnerung ist das Seil, "A		t aus dem Abgrund des r verlorenen Zeit" von	

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# 1. Summary

Alzheimer's disease (AD) is the leading form of dementia interfering with daily life due to progressive memory loss and cognitive disabilities. With more than 45 million people suffering from dementia worldwide, AD is one of the costliest health conditions to society. Because of an increasing proportion of elderly people the number of individuals living with dementia is expected to more than triple by 2050. Although there are symptomatic treatments available that temporarily slow the worsening of clinical symptoms, a disease-modifying cure is still missing. Therefore, dementia, and AD in particular, is becoming a public health priority evoking worldwide efforts to delay or even prevent the disease from ever developing.

The amyloid cascade hypothesis explained in detail in chapter one of this thesis proposes that an impaired homeostasis of production and clearance of the amyloid- $\beta$  (A $\beta$ ) peptide is the trigger initiating a sequence of pathogenic events causing AD. A $\beta$  misfolding and aggregation leads to the accumulation of cerebral amyloid plaques, a typical hallmark of AD. As the pathology progresses, plaques continue to develop and grow, which is suggested to lead to the second disease characteristic, neurofibrillary tangles (NFTs), consisting of hyperphosphorylated tau proteins. A $\beta$  as the driving force of this pathological cascade has been regarded as the most reasonable therapeutic target.

Therefore the second chapter is dedicated to different therapeutic approaches for AD with particular focus on the β-site amyloid precursor protein (APP)-cleaving enzyme 1 (BACE1), which is responsible for regulating the production of Aβ. To this end APP transgenic (tg) mice that mimic β-amyloidosis-related features of AD were treated with a potent BACE inhibitor for six months. In response to this long-term therapy, brain Aβ as well as plaque deposition were reduced to levels comparable to six months younger animals. Surprisingly, BACE inhibition also exhibited downstream effects preventing the pathology-dependent increase of tau in the cerebrospinal fluid (CSF). Thus, BACE inhibitors are valuable therapeutic agents and their effectiveness can be predicted by CSF tau measurements in clinical trials. These findings have been published in *Alzheimer's & Dementia: The Journal of the Alzheimer's Association* (Schelle et al., 2017, *Alzheimer's & Dementia: The Journal of the Alzheimer's Association*, 13(6), pp.701-709).

The third part of this thesis investigated the stability of small A $\beta$  aggregates (A $\beta$  seeds), which share pathogenic properties with the prion protein implicated in transmissible spongiform encephalopathies. Whereas in A $\beta$  seeds inoculated APP tg mice the presence of host-derived A $\beta$  together with the exogenously applied seeds induced their propagation, the injected material was undetectable in *App* null mice 30 days post inoculation. However, reinoculation with brain extracts from *App* null mice inoculated with A $\beta$  seeds up to six months harboring A $\beta$  levels below detection, still induced cerebral  $\beta$ -amyloidosis in APP tg hosts. In conclusion, A $\beta$  seeds can persist even in the absence of host A $\beta$  and regain their pathogenic activity as soon as sufficient A $\beta$  becomes available. This discovery indicates on the one hand that lowering A $\beta$  production inhibits the formation of new A $\beta$  seeds and on the other hand that therapeutic intervention is most effective when applied at early stages. These results have been published in *Nature Neuroscience* (Ye, Fritschi, Schelle et al., 2015, *Nature neuroscience*, 18(11), pp.1559-61).

Recent biomarker studies in familial AD subjects, which revealed that first pathological changes occur up to 25 years before clinical disease onset, supported this idea. Therefore, in the last part, pre-depositing APP tg mice were treated with a combinational therapy based on anti-A $\beta$  immunization to remove A $\beta$  seeds and a BACE inhibitor to block the production of soluble A $\beta$ . Using two different APP tg mouse models results revealed that brain A $\beta$  levels and plaque formation were reduced acutely after the treatment. Moreover, this short but early intervention delayed amyloid pathology after discontinuation of the treatment. Thus, targeting initial A $\beta$  seeds by anti-A $\beta$  combination therapy might be the most promising strategy to effectively prevent cerebral  $\beta$ -amyloidosis. These findings are now prepared for publication (Schelle et al., 2017).

In summary, this doctoral thesis highlights the importance of early therapeutic intervention with anti-A $\beta$  drugs in order to prevent AD. Previous studies have shown that treatment of AD patients who have already developed irreversible neurodegeneration might be inadequate to stop the progression of this devastating disorder and could be one reason to explain recent failures of anti-amyloid agents in numerous clinical trials. The results presented in this doctoral thesis indicate that the treatment focus should be shifted toward earlier stages of AD and even toward primary prevention before symptom onset targeting initial A $\beta$  seeds.

# 2. Synopsis

# 2.1 Alzheimer's disease at a glance

#### 2.1.1 Characteristics of Alzheimer's disease

```
26.11.1901: Alois Alzheimer im Gespräch mit Auguste D.
"Wie heißen Sie?" – "Auguste."
"Familienname?" – "Auguste."
"Wie heißt ihr Mann?" – "Ich glaube Auguste."
"Ihr Mann?" – "Ach so, mein Mann."
"Sind Sie verheiratet?" – "Zu Auguste."
"Frau D.?" – "Ja, zu Auguste D."
```

03.11.1906: Tübinger Chronik und Steinlach Bote

Amts- und Anzeigeblatt für den Oberamts-Bezirk Tübingen.

"Am Samstag Nachmittag 3 Uhr begannen im Hörsaale der hiesigen psychiatrischen Klinik die Verhandlungen der 37. Versammlung der süddeutschen Irrenärzte, zu welcher sich etwa 90 Teilnehmer eingefunden hatten... Über einen eigenartigen schweren Krankheitsprozess, der einen bedeutenden Schwund der Nervenzellen innerhalb von 4  $\frac{1}{2}$  Jahren verursachte, berichtete Privatdozent Dr. Alzheimer (München)."

11.01.1911: Über eigenartige Krankheitsfälle des späten Alters. Von A. Alzheimer.

"Im Jahre 1906 habe ich einen Fall von Erkrankung des präsenilen Alters beschrieben, … die damals noch unbekannt war. Hinsichtlich der klinischen Erscheinung war eigenartig eine rasch sich entwickelnde und in kurzer Zeit zu den tiefsten Graden fortschreitende Verblödung…"

Already in 1901 the neuropathologist Alois Alzheimer started to investigate his patient Auguste Deter, who suffered from a rapid loss of memory, disorientation in time and space, auditory hallucinations, and psychosocial impairment. Because she was only 56 years old when she died, she did not meet the inclusion criteria for senile dementia that had been known so far. Hence, senile dementia was excluded as a reason for her symptoms (Alzheimer, 1907). That is why in 1906 at a conference in Tübingen Alzheimer described, and published in 1907 and 1911, an unusual illness of the cerebral cortex, with unfamiliar clinical as well as pathological characteristics (Alzheimer, 1907; Alzheimer, 1911).

Nowadays Alzheimer's disease (AD) - named after the discoverer - is the most common neurodegenerative disease and the leading cause of dementia worldwide (Dartigues, 2009; Holtzman et al., 2011). Dementia is a general term for conditions characterized by a decline in memory or other cognitive skills due to neuronal loss leading to a person's inability to perform everyday activities (Alzheimer's Association, 2014). AD specifically is characterized by a progressive loss of episodic memory impeding recollection of recent events and activities, disorientation to time and place, and later the decline in executive functions, language, and emotional stability (Petersen et al., 1999; Alzheimer's Association, 2014). The global number of AD cases is estimated at 46.8 million with a new case of dementia arising somewhere in the world every four seconds (World Alzheimer Report, 2015). As life expectancy keeps rising and with increasing age being the greatest known risk factor for AD, the number of patients is expected to triple by 2050 (Reitz et al., 2011; Wimo, 2015; World Alzheimer Report, 2015). In fact, AD is not only a burden for the everincreasing number of new patients worldwide, but has also tremendous consequences for their relatives, caregivers, as well as society (World Alzheimer Report, 2015). The global costs of dementia have increased by more than 35% between 2010 and 2015 and will soon escalate into a trillion dollar economic challenge (World Alzheimer Report, 2015). Therefore AD risk reduction, prevention, care, and cure should be made a public health priority.

Already more than 100 years ago, Alois Alzheimer microscopically and histologically analyzed Auguste Deter's brain post-mortem and reported two cardinal pathological alterations, namely extracellular plaques and bundles of neurofibrils (Alzheimer, 1911). Both hallmark lesions still remain a valid and definite clinical diagnosis for AD (Scheltens et al., 2016). The constituents of these plaques are aggregated forms of a small peptide named amyloid-β (Aβ), which forms fibrillar deposits (Glenner & Wong, 1984; Masters et al., 1985; Duyckaerts et al., 2009, Holtzman et al., 2011). Neurofibrillary tangles (NFTs) composed of abnormally hyperphosphorylated tau, a microtubule-associated protein, are found inside nerve cell bodies (dystrophic neurites) (Kosik et al., 1986, Grundke-Iqbal et al., 1986; Goedert et al., 1988; Goedert & Spillantini, 2006; Mandelkow & Mandelkow, 2012). Increased numbers of brain region-specific Aβ aggregates as well as NFTs are suggested to lead to the progression of AD (Braak & Braak, 1991; Thal et al., 2002; Jucker & Walker, 2013). Both assemblies show features characteristic of amyloid (Glenner & Wong, 1984; Masters et al., 1985; Duyckaerts et al., 2009; Eisenberg & Jucker, 2012). Amyloids are predominantly composed of β-sheet structures displaying a specific cross-β fiber diffraction

pattern. Furthermore they bind to the dye Congo Red, which exhibits anomalous colors, referred to as apple green birefringence, when examined between crossed-polarizers (Rambaran & Serpell, 2008; Sipe et al., 2014). Amyloids are usually unbranched and fibrillar in structure giving rise to toxic tissue deposits (Haass & Selkoe, 2007; Eisenberg & Jucker, 2012; Klein, 2013).

In 1992, John Hardy and Gerald Higgins described the most dominant model of AD pathogenesis to date. This amyloid cascade hypothesis postulates that an imbalance between the production and/or the clearance of A $\beta$  peptides due to altered expression or processing of the  $\beta$ -amyloid precursor protein (APP) causes the deposition of A $\beta$  in plaques followed by a sequence of pathogenic events leading to AD dementia (Hardy & Higgins, 1992; Hardy & Selkoe, 2002).

Aβ is produced by proteolytic cleavage of APP, a transmembrane protein with a single membrane-spanning domain (Glenner & Wong, 1984; Masters et al., 1985; Kang et al., 1987). Alternative splicing gives rise to different APP isoforms: APP<sub>695</sub> is predominantly expressed in the central nervous system (CNS), whereas APP<sub>751</sub> and APP<sub>770</sub> are ubiquitously expressed in non-neuronal tissue (Kitaguchi et al., 1988; Ponte et al., 1988; Tanaka et al., 1988). Despite the involvement of APP in synaptic functioning and transmission as well as plasticity and memory formation, its exact physiological role is still under debate (Dawkins & Small, 2014). However, cerebral APP<sub>695</sub> cleavage and processing can be divided into a non-amyloidogenic (non-pathogenic) and an amyloidogenic (pathogenic) pathway, both of which are illustrated in Figure 1. The non-amyloidogenic pathway is characterized by APP cleavage within the A $\beta$  region by  $\alpha$ -secretase and sequentially  $\gamma$ -secretase. This processing precludes the formation of the Aß peptide and thereby interferes with amyloid deposition. The α-secretase belongs to the disintegrin and metalloprotease (ADAM) family (Sisodia, 1992; Lammich et al., 1999) and its APP cleavage releases soluble APP- $\alpha$  (sAPP $\alpha$ ) externally from the endosome or cell membrane (Ghiso et al., 1989; Kojro & Fahrenholz, 2005; Jorissen et al., 2010). The remaining membrane-bound C-terminal fragment of 83 amino acid residues (C83 or CTF $\alpha$ ) is subsequently cleaved by the  $\gamma$ -secretase. Two short non-amyloidogenic fragments termed p3 and APP intracellular domain (AICD) are produced (Haass & Selkoe, 1993). The γ-secretase is a multiprotein complex with its key biochemical components presenilin 1 (PS1) or presenilin 2 (PS2), glycosylated nicastrin (NCT), anterior pharynxdefective phenotype 1 (Aph-1), and presenilin enhancer 2 (Pen-2) (De Strooper, 2003;

Edbauer et al., 2003). Presenilins are aspartyl proteases and build the active site of the enzyme (De Strooper et al., 1998; Wolfe et al., 1999).

The amyloidogenic pathway is an alternative APP cleavage pathway resulting in A $\beta$  generation. The  $\beta$ -site APP cleaving enzyme 1 (BACE1,  $\beta$ -secretase) initially cleaves APP at a position located 99 amino acids from the C-terminus (N-terminus of Aβ) (Vassar et al., 1999). Its highly sequence-specific cleavage results in the release of soluble APP-β (sAPPβ) into the extracellular space (Selkoe, 1991). BACE1 is a membrane-bound aspartyl protease (Vassar & Citron, 2000; Cole & Vassar, 2007, Dislich & Lichtenthaler, 2012) present in acidic cellular compartments like the Golgi apparatus and endosomes (Vassar et al., 1999). Other BACE1 substrates are important for the formation of voltage gated sodium channels and axon myelination (Wong et al., 2005; Hu et al., 2006). The remaining hydrophobic, membrane-spanning C-terminal region of APP consisting of 99 amino acid residues (known as C99 or CTFβ) becomes a substrate for the γ-secretase (Vassar et al., 1999; Haass et al., 2012). In contrast to the non-amyloidogenic pathway, the γ-secretase liberates AICD into the cytosol. In addition, various AB species are released into the extracellular space through progressive  $\gamma$ -secretase cleavage steps (between residues 37 and 43) (Vassar et al., 1999; Haass et al., 2012) after initially releasing  $A\beta_{48}$  or  $A\beta_{49}$  as two major precursors (Takami et al., 2009). The predominant Aβ species in healthy individuals is a peptide of 40 amino acid residues in length (A $\beta_{40}$ ) (De Strooper & Annaert, 2010), in contrast to the less abundant (approximately 10%) but more hydrophobic and presumably pathogenic 42 residue variant (A $\beta_{42}$ ) (Bitan et al., 2003; Yan & Wang, 2006). Consistently, longer Aβ species are more prone to aggregate than shorter ones (Burdick et al., 1992; Qi-Takahara et al., 2005). Because  $A\beta_{42}$  fosters oligomerization, fibrillization, and plaque deposition, it is more amyloidogenic and neurotoxic than  $A\beta_{40}$  (Jarrett et al., 1993; Suzuki et al., 1994; Younkin, 1998; Bitan et al., 2003). Furthermore AD patients often exhibit an increased  $A\beta_{42:40}$  ratio enhancing synaptotoxicity and amyloid aggregation (Pauwels et al., 2012).

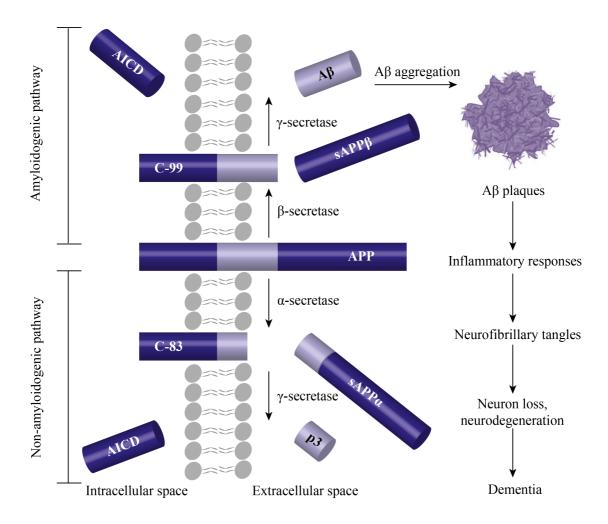


Figure 1: Sequential cleavage of the amyloid precursor protein (APP) and potential consequences of amyloid  $\beta$  (A $\beta$ ) peptide aggregation. During the non-amyloidogenic pathway APP is processed by the  $\alpha$ -secretase giving rise to the membrane-bound C-terminal fragment C-83 and the soluble APP $\alpha$  (sAPP $\alpha$ ). Sequential cleavage by the  $\gamma$ -secretase liberates p3 into the extracellular space and the APP intracellular domain (AICD). In contrast, the amyloidogenic pathway produces soluble APP $\beta$  (sAPP $\beta$ ) and C-99 in response to  $\beta$ -secretase cleavage. The latter is further processed by the  $\gamma$ -secretase resulting in the release of AICD and extracellular A $\beta$  molecules. According to the amyloid cascade hypothesis A $\beta$  aggregation is suggested to trigger a sequence of major pathogenic events leading to dementia associated with Alzheimer's disease. However, Alzheimer's pathogenesis probably comprises parallel and circular interactions of risk factors rather than a linear pathological process (modified from Dislich & Lichtenthaler, 2012 and Hardy & Selkoe, 2002).

Recently a novel cleavage site at amino acid 504-505 of APP<sub>695</sub> was discovered called  $\eta$ -cleavage (Willem et al., 2015). A membrane-bound matrix metalloproteinase, the  $\eta$ -secretase, cleaves APP and releases CTF- $\eta$  and A $\eta$  of various sizes after further processing by  $\alpha$ - or  $\beta$ -secretase (Willem et al., 2015). Although accumulations of the  $\eta$ -secretase and CTF- $\eta$  found within dystrophic neurites might contribute to AD pathogenesis (Willem et al., 2015), AD causing mutations all affect A $\beta$ , and not A $\eta$ , production and aggregation (Bekris et al., 2010), which will be described in more detail in the next chapter.

According to the amyloid hypothesis, aggregation of Aβ in brain regions responsible for memory and cognition is followed by the formation of intracellular NFTs (Kosik et al., 1986; Goedert & Spillantini, 2006) and many other structural and functional changes (Duyckaerts et al., 2009; Holtzman et al., 2011; Nelson et al., 2012). Those include aberrant neuronal network activities due to impaired synaptic function (Dekosky & Scheff, 1990), neuronal loss leading to brain atrophy (Jobst et al., 1994), and neuroinflammation accompanied by oxidative stress and thereby activation of astrocytes and microglia, the immune cells of the brain (Brun & Englund, 1981; Prinz & Mildner, 2011; Prokop et al., 2013). Many recent evidences have highlighted especially the immune system as a key influencer in increasing AD risk and accelerating AD progression (Naj et al., 2011; Jonsson et al., 2013; Huang et al., 2017). However, rather than a linear sequence of pathological events, multicausal, circular, and parallel pathways and risk factors lead to the manifestation of dementia. Nevertheless, all mentioned processes within this pathogenic cascade contribute to the progression of the preclinical/prodromal phase of AD to mild cognitive impairment (MCI), followed by the manifestation of mild (early stage), then moderate (middle stage), and finally severe (late stage) AD dementia (Chertkow et al., 2013).

### 2.1.2 Aggregation of the Aβ peptide as key trigger of Alzheimer's disease

The most convincing piece of evidence supporting the amyloid cascade hypothesis and the key role for A $\beta$  deposition as the initiative event in AD pathogenesis comes from human genetic studies. AD can be divided into an early-onset (< 60 years) familial form (EOAD) and a late-onset (> 60 years) sporadic form (LOAD). Only less than one percent of AD patients carry a dominantly autosomal inherited mutation causing early-onset familial AD (FAD) (Bekris et al., 2010). In fact, all of those missense mutations cause dysfunctional A $\beta$  homeostasis and occur in proteins involved in the generation of A $\beta$  (Hardy & Selkoe, 2002; Selkoe & Hardy, 2016). On the other hand, mutations in the *microtubule associated protein tau (MAPT)* gene do not cause AD but frontotemporal dementia, parkinsonism linked to chromosome 17 (FTDP-17), progressive supranuclear palsy, and other tauopathies (Pagon et al., 1993; Goedert & Jakes, 2005).

Specific App gene mutations within and immediately flanking the A $\beta$  region were identified in families suffering from EOAD such as those carrying the Dutch mutation (E693Q) (Van Broeckhoven et al., 1990), the London mutation (V171I) (Goate et al., 1991), the Indiana

mutation (V717F) (Murrell et al., 1991), the Swedish double mutation (K670N/M671L) (Mullan et al., 1992), and the Arctic mutation (E693G) (Kamino et al., 1992), named after the region of discovery. All these *App* mutations increase Aβ production or change the relative amount of the amyloidogenic  $A\beta_{42}$  versus  $A\beta_{40}$  peptide (Citron et al., 1992; Suzuki et al., 1994). Hence, Aβ aggregates and insoluble parenchymal plagues and/or cerebral hemorrhage with amyloidosis develop and affect normal brain functioning (Herzig et al., 2004; Goedert & Spillantini, 2006). In rare individuals, the App gene is duplicated, which also causes EOAD (Rovelet-Lecrux et al., 2006; Sleegers et al., 2006). Due to the App gene being located on chromosome 21 (Goldgaber et al., 1987; Robakis et al., 1987), Down's syndrome (trisomy of chromosome 21 and therefore also of the App gene) patients develop typical AD neuropathology because they produce excessive amounts of Aβ lifelong (Masters et al., 1985; Lemere et al. 1996). Moreover, amino acid substitutions in the presentil 1 (PSEN1) or 2 (PSEN2) genes cause the most common and most aggressive form of EOAD due to the relative elevation of A $\beta_{42}$  levels in the brain, which leads to profound A $\beta$  deposition in midlife (Jarrett et al., 1993; Borchelt et al., 1996; Citron et al., 1997; Selkoe, 2001). In contrast, an App missense mutation (A673T) at the second amino acid of the Aß region has been identified precipitating a reduced Aβ production due to decreased APP cleavage by BACE1 (Jonsson et al., 2012). Consequently, not only the aggregation potency of this mutant Aβ form is lowered but mutation-carriers also have significantly decreased plasma AB and therefore the risk of developing AD is reduced (Jonsson et al., 2012; Benilova et al., 2014; Maloney et al., 2014; Zheng et al., 2015; Martiskainen et al., 2017), which confirms the critical involvement of  $A\beta$  in AD pathogenesis.

In contrast to the small proportion of FAD patients, in which one specific mutation causes the disease, the majority of cases manifest as the sporadic form, where many genetic and environmental factors could contribute to the disorder (Alzheimer Association Report, 2014). Although the causative triggers of the common sporadic form of AD are still unknown, genome-wide association studies (GWAS) and recent investigations about epigenetic mechanisms revealed certain risk factors (Reitz et al., 2011; Bettens et al., 2013). The strongest risk factor for developing LOAD is advanced age. Nevertheless, aging alone is not sufficient to cause the disease (Reitz et al., 2011). An increased risk of developing AD is the inheritance of one (fourfold increased risk) or two (twelvefold increased risk) copies of the *APOE* ε4 gene encoding for the ε4 form (APOE4) of the apolipoprotein E (APOE)

(Schmechel et al., 1993; Strittmatter et al., 1993; Saunders et al., 1993; Corder et al., 1993; Raber et al., 2004). The APOE4 protein leads to chronically decreased brain clearance of AB, more amyloid deposits, and thereby contributes to AD risk (Rebeck et al., 1993; Holtzman et al., 2000; Castellano et al., 2011). Other risk genes for LOAD include ABCA7 (ATP-binding cassette sub-family A member 7) involved in Aβ clearance (Hollingworth et al., 2011; Kim et al., 2013) and SORL1 (sortilin-related receptor 1) (Rogaeva et al., 2007), BIN1 (bridging integrator protein 1) (Seshadri et al., 2010), and PICALM (phosphatidylinositol-binding clathrin assembly protein) (Harold et al., 2009) as regulators of endosomal vesicle recycling and Aβ transportation. Furthermore CR1 (complement receptor 1) (Lambert et al., 2009), CD33 (member of the sialic-acid-binding immunoglobulin-like lectins family) (Bertram et al., 2008; Naj et al., 2011), and TREM2 (triggering receptor expressed on myeloid cells 2) (Jonsson et al., 2013; Wang et al., 2015b), all involved in the phagocytic immune response to Aβ deposition, are other identified risk loci. Recently, a protective allele with reduced SPII (spi-1 proto-oncogene) expression and therefore reduced AD risk was discovered (Huang et al., 2017). SPII encodes for the microglial transcription factor PU.1, which was found to regulate many established AD risk genes, indicating a crucial role of microglia in the pathogenesis of AD (Huang et al., 2017). In addition to those genetic AD risk factors, smoking (Anstey et al., 2007; Rusanen et al., 2010), obesity (Kivipelto et al., 2005; Whitmer et al., 2008; Luchsinger et al., 2012), type 2 diabetes (Ahtiluoto et al., 2010; Cheng et al., 2011), low education (Stern et al., 1994), traumatic brain injury (Mortimer et al., 1991; Schofield et al., 1997; Lye & Shores, 2000), and other factors have been associated with an increased likelihood of developing AD.

In addition to the detailed analyses of different familial and sporadic AD cases that support the amyloid hypothesis (Citron et al., 1992; Cai et al., 1993; Suzuki et al., 1994; Hardy et al., 1998; Reitz et al., 2011), genetically modified rodents overexpressing mutant forms of human APP or presenilin were generated in order to partly mimic distinct features of AD pathology (Games et al., 1995; Hsiao et al., 1996; Sturchler-Pierrat et al., 1997; Lewis et al., 2001; Radde et al., 2006; Drummond & Wisniewski, 2017). Notably, wild type (wt) mice do not manifest any neuropathological signs of AD. This might be due to their short lifespans, missing or altered cofactors, environmental circumstances, or the three amino acids of the Aβ sequence that differ between mice and humans (Yamada et al., 1987; Walker & Jucker, 2017). Although APP transgenic (tg) mice do not express the entire AD pathology by lacking NFTs and extensive neuronal loss (Calhoun et al., 1998; Rupp et al., 2011), they recapitulate

age-related cerebral  $\beta$ -amyloidosis in the brain including parenchymal plaques and vascular deposition very similar to A $\beta$  lesions characteristic for AD (Ashe & Zahs, 2010; Jucker, 2010). For example, APP23 tg mice overexpress the K670M/N671L-mutated human APP (APPSwedish) and start to form detectable A $\beta$  deposits at six to eight months of age (Sturchler-Pierrat et al., 1997). APPPS1 tg mice co-express in addition to APPSwedish human mutated presenilin, which leads to the development of the first A $\beta$  plaques after six weeks of age (Radde et al., 2006). Another tg mouse model that mimics at least partially sporadic AD overexpresses human wt APP (APP51 mice) and exhibits a much later onset of A $\beta$  deposition (Bodendorf et al., 2002).

Two findings that might undercut the amyloid hypothesis of AD are the presence of AB plaques in some aged individuals without cognitive deficits (Braak & Braak, 1991), in line with the poor correlation of the Aβ load with the degree of clinical symptoms (Arriagada et al., 1992; Foley et al., 2015). In fact, the quantity of NFTs seems to correlate much better with cognitive impairment than plaque burden (Giannakopoulos et al., 2003). This potential discrepancy suggests that  $A\beta$  is not directly responsible for disease progression. Other causal contributions of  $A\beta$  to AD could be on the one hand that  $A\beta$  has to reach a certain threshold to cause pathogenic events or on the other hand that Aβ is the trigger of the disease process, which then becomes rather independent of Aβ (Karran et al., 2011). In one way or the other, Aß aggregation is an early event that leads to downstream cellular and molecular brain alterations such as tangle formation, which then result in neuronal dysfunction and at a final stage in dementia (Selkoe & Hardy, 2016). Recent fluid and imaging biomarker studies in FAD patients, explained in more detail in chapter 2.2.2, revealed that Aβ changes occur before tau increases in the cerebrospinal fluid (CSF) and precede the onset of clinical symptoms by decades (Jack et al., 2010; Holtzman et al., 2011b; Bateman et al., 2012). Therefore non-demented people, who exhibit amyloid deposits post-mortem, could have been on the way to develop AD.

Taken together, human genetic as well as biomarker studies indicate that in AD pathogenesis  $A\beta$  accumulation is the driving force for downstream tauopathy and neurodegeneration. Although AD is a complex disease with multiple interactions among genetic, epigenetic, and environmental factors (Chouliaras et al., 2010; Barnes & Yaffe, 2011),  $A\beta$  aggregation seems to be the initial, underlying cause of AD and a reasonable target for therapeutic intervention.

### 2.2 Inhibiting BACE1 to impede amyloid pathology

In reference to:

# Prevention of tau increase in cerebrospinal fluid of APP transgenic mice suggests downstream effect of BACE1 inhibition

**Juliane Schelle**, Lisa Häsler, Jens C. Göpfert, Thomas O. Joos, Hugo Vanderstichele, Erik Stoops, Eva-Maria Mandelkow, Ulf Neumann, Derya R. Shimshek, Matthias Staufenbiel, Mathias Jucker, Stephan A. Kaeser (2017). *Alzheimer's & Dementia: The Journal of the Alzheimer's Association*, 13(6), pp.701-709.

### 2.2.1 Treatment strategies for Alzheimer's disease

Current Food and Drug Administration (FDA) approved AD treatments focus only on the amelioration of symptoms rather then the prevention or slowdown of disease progression. Currently, AD patients undergo symptomatic therapies with acetylcholinesterase-inhibitors and a glutamate antagonist. Because the neurotransmitter acetylcholine (ACh) involved in learning and memory (Mitsushima et al., 2013) is depleted in AD brains (Davies & Maloney, 1976; Perry et al., 1977) and even interacts with Aβ directly (Garcia-Osta & Alberini, 2009; Puzzo et al., 2015), Rivastigmine, Donepezil, and Galantamine provide symptomatic relief due to inhibition of ACh clearance by the acetylcholinesterase (Román & Kalaria, 2006; Anand & Singh, 2013; Godyn et al., 2016). However, only a minority of treated patients shows modest and transient improvement of cognitive functions. Furthermore the utility of each of these drugs is limited because they are only effective in earlier pre-dementia stages by allowing the retention of ACh (Hansen et al., 2008). Another approved drug is Memantine, an uncompetitive N-methyl-D-aspartate (NMDA)-type glutamate receptor antagonist (Winblad & Poritis, 1999; Chu, 2012). Decreased glutamate uptake from microglia cells can lead to glutamate-mediated excitotoxicity, which can be detrimental to cells (Prentice et al., 2015). Memantine prevents aberrant neuronal stimulation and shows symptomatic benefits in moderate to severe AD patients (Cummings, 2004). It either can be administered alone or in combination with an acetylcholinesterase inhibitor (Wang et al., 2015a). Another glutamatergic agent, Riluzole, is currently in phase II for the treatment of AD.

Although the four approved drugs, summarized in Table 1, became the standard of care for AD patients since they slow the decline in quality of life, but they do not modify the primary pathological processes underlying the disease. Hence, AD's therapy research shifted toward different targets namely anti-A $\beta$  agents assuming A $\beta$  aggregation as the initial driving force of the disease (Hardy & Selkoe, 2002; Golde et al., 2010). Multiple approaches targeting specific intermediates in the pathological pathway from A $\beta$  monomer to amyloid deposit have gained scientific interest. In Table 1 the entire spectrum of therapeutic anti-A $\beta$  agents in clinical trials is listed. Besides active and passive A $\beta$  vaccinations to accelerate A $\beta$  clearance, researchers focused on the  $\beta$ - and  $\gamma$ -secretase cleaving APP as therapeutic targets, especially after the discovery that A $\beta$  is constantly produced and secreted by cells throughout life (Haass et al., 1992). Inhibitors of each enzyme should therefore decrease A $\beta$  production and prevent plaque formation.

As the γ-secretase complex consists of four different substrates, it provides many binding sites for selective inhibitors. Although the identity of this enzyme has been known for a long time, it has been difficult to develop drugs that are able to penetrate the blood-brain-barrier (BBB) and selectively inhibit the amyloidogenic pathway of APP processing. Moreover, the  $\gamma$ -secretase cleaves 50 other substrates apart from APP such as voltage-gated sodium channel subunits and Notch receptors, which regulate cell proliferation and differentiation (Kopan & Ilagan, 2009; Citron, 2010; De Strooper & Annaert, 2010; Golde et al., 2011; Schor, 2011). Their inhibition led to severe side effects in numerous studies using  $\gamma$ -secretase inhibitors from Eli Lilly & Co (Semagacestat) and Bristol-Meyers Squibb (Avagacestat) including gastrointestinal bleedings, immunosuppression, and skin cancer (Searfoss et al., 2003; Wong et al., 2004; Fleisher et al., 2008; Coric et al., 2012; Doody et al., 2013). Hence, Notchsparing  $\gamma$ -secretase modulators were designed and are currently tested in clinical trials because they selectively decrease the production of aggregation-prone Aβ<sub>42</sub> in favor of shorter Aβ species that may be less toxic (EVP-0962, FORUM Pharmaceuticals, Inc.) (Kukar et al., 2008; Wolfe, 2007; Golde et al., 2011; Karran et al., 2011). Interestingly, γ-secretase modulators such as cholestenoic acid, a cholesterol metabolite, also exist endogenously in the brain and might act as viable therapeutic targets as well.

Table 1: The rapeutic anti-A $\beta$  agents in Alzheimer's disease

Table 1. Therap	l	nts in Aizneimer's	uiscasc	
Target type	Name	Therapy type	FDA Status	Company
Cholinergic	Donepezil	Small molecule	Approved	Esai Co., Ltd., Pfizer, Inc.
Cholinergic Galantamine		Small molecule	Approved	Janssen Pharmaceutica, Ortho- McNeil Pharmaceutical, Sanochemia Pharmazeutika, Shire PLC, Takeda Pharmaceutical Company
Cholinergic	Rivastigmine	Small molecule	Approved	Novartis Pharmaceuticals
Glutamatergic	Memantine	Small molecule	Approved	Forest Laboratories, Inc., H. Lundbeck A/S, Merz Pharma
Glutamatergic	Riluzole	Small molecule	Phase II	Sanofi S.A.
γ-secretase inhibitor	EVP-0962	Small molecule	Phase II	FORUM Pharmaceuticals Inc.
BACE inhibitor	E2609	Small molecule	Phase II	Biogen, Inc., Esai Co., Ltd.
BACE inhibitor	AZD3293	Small molecule	Phase III	Eli Lilly & Co., AstraZeneca
BACE inhibitor	CNP520	Small molecule	Phase II/III	Amgen, Inc., Novartis Pharmaceuticals
BACE inhibitor	JNJ-54861911	Small molecule	Phase II/III	Janssen Pharmaceutica, Shionogi
BACE inhibitor	Verubecestat	Small molecule	Phase III	Merck & Co, Inc.
Aβ clearance	AAB-003	Passive immunotherapy	Phase I	Janssen Pharmaceutica, Pfizer, Inc.
Aβ clearance	Solanezumab	Passive immunotherapy	Phase III	Eli Lilly & Co.
Aβ clearance	Crenezumab	Passive immunotherapy	Phase III	Genentech, Inc.
Aβ clearance	Gantenerumab	Passive immunotherapy	Phase III	Chugai Pharmaceutical Co., Ltd., Hoffmann-La Roche
Aβ clearance	BAN2401	Passive immunotherapy	Phase II	BioArtic Neuroscience AB, Biogen, Inc., Esai Co., Ltd.
Aβ clearance	Aducanumab	Passive immunotherapy	Phase III	Biogen, Inc.
γ-secretase inhibitor	Semagacestat	Small molecule	Discontinued	Eli Lilly & Co.
γ-secretase inhibitor	Avagacestat	Small molecule	Discontinued	Bristol-Myers Squibb
BACE inhibitor	RG7129	Small molecule	Discontinued	Roche
BACE inhibitor	LY2811376	Small molecule	Discontinued	Eli Lilly & Co.
BACE inhibitor	LY2886721	Small molecule	Discontinued	Eli Lilly & Co.
Aβ clearance	AN-1792	Active immunotherapy	Discontinued	Janssen Pharmaceutica, Pfizer, Inc.
Aβ clearance	Bapineuzumab	Passive immunotherapy	Discontinued	Pfizer, Inc., Johnson & Johnson Pharmaceutical Company, Janssen Pharmaceutica, Elan Pharmaceuticals, Inc.
Aβ clearance	GSK933776	Passive immunotherapy	Discontinued	GlaxoSmithKline PLC

Updated summer 2017; BACE =  $\beta$ -site amyloid precursor protein cleaving enzyme (adapted from Graham et al., 2017)

While the safe use of  $\gamma$ -secretase modulators is still pending, the other rate-limiting secretase processing APP BACE1 that is known to be elevated in AD patients became the focus of therapeutic approaches (Ghosh et al., 2008; Citron, 2010; De Strooper & Annaert, 2010; Golde et al., 2011; Kandalepas et al., 2013). Already other members of the aspartic protease family could be successfully inhibited such as renin and human immunodeficiency virus (HIV) protease-1 (Nguyen et al., 2008). BACE1 null mice overexpressing human APP lacked amyloid plaques (Luo et al., 2003) but showed sensorimotor impairments and spatial memory deficits (Kobayashi et al., 2008) mainly due to the involvement of BACE1 in the metabolism of myelination during development (Willem et al., 2006; Vassar et al., 2009; Hitt et al., 2010; Cai et al., 2012). Therefore research interest shifted more toward partial and transient BACE1 inhibition, which was sufficient to significantly reduce AB deposition in APP tg mice (McConlogue et al., 2007; Fukumoto et al., 2010; Eketjäll et al., 2013; Neumann et al., 2015). However, initial clinical trials turned out to be unsuccessful because of low oral bioavailability and low BBB penetration. Nevertheless partial BACE1 inhibition seemed to display less severe side effects than drugs targeting the  $\gamma$ -secretase. The development of more lipophilic compounds revealed better oral availability and good brain permeability but lacked high potency and accurate target selectivity for BACE1 over other enzymes such as BACE2 (Stachel et al., 2004; Cole et al., 2006; Baxter et al., 2007). BACE2 is a closely related βsecretase with significant structural homology compared to BACE1. In contrast to its homologue, BACE2 is not primarily expressed in the brain but in colon, kidney, and pancreas and is therefore not considered to be a major contributor to Aß generation. For example, Novartis Pharmaceuticals developed a BACE inhibitor (NB-360) that was not selective for BACE1 but effectively reduced brain A $\beta$  in rodents and dogs and blocked the progression of cerebral Aβ deposition in APP tg mice (Neumann et al., 2015). However, this potent BACE inhibitor induced hair depigmentation in mice suggesting that a potential therapeutic agent for AD should selectively target BACE1 (Shimshek et al., 2016). Ongoing phase II and III studies include selective small-molecule BACE1 inhibitors with satisfactory pharmacokinetics, including E2609 from Biogen, Inc., Eisai Co., Ltd., AZD3293 from Eli Lilly & Co., AstraZeneca, JNJ-54861911 from Janssen Pharmaceutica, Shionogi, Verubecestat from Merck & Co, Inc., and also the processed and improved compound from Novartis Pharmaceuticals, Amgen, Inc. called CNP520 (Godyn et al., 2016, Folch et al., 2016).

Because the alpha-secretase conducts the non-amyloidogenic pathway and prevents  $A\beta$  production, the elevation of  $\alpha$ -secretase cleavage seems to be a viable option for treating AD (Kuhn et al., 2010). Indeed, in APP tg mice overexpression of ADAM10 acting as  $\alpha$ -secretase reduced plaque deposition and improved cognitive impairment (Postina 2004; Lichtenthaler, 2011). Despite initial excitement, off-target effects of  $\alpha$ -secretase modulators due to over 30 different substrates of the metalloprotease besides APP as well as underlying mechanisms need to be identified and understood first (Edwards et al., 2008; Lichtenthaler, 2011).

Not only the manipulation of APP processing is a rational and promising strategy to treat or even prevent AD but also immunological approaches enhancing Aß clearance. Besides increased amyloidogenic APP processing and AB production, inefficient removal of AB peptides contribute to the pathology (Hardy & Selkoe 2002; Selkoe, 2001). In AD patients, Aβ clearance is altered due to reduced activity of Aβ-degrading enzymes such as neprilysin (Mawuenyega et al., 2010; Folch et al., 2016). Increasing the expression of those Aβdegrading enzymes would be one therapeutic strategy and was already shown to increase AB clearance in APP tg mice (Mueller-Steiner et al., 2006). However, those approaches turned out to be difficult to translate into clinical trials. To this end, anti-Aβ immunotherapy was reported as an effective amyloid clearance mechanism. Active immunization stimulates the immune system to produce antibodies when exposed to synthetic  $A\beta_{42}$  as antigen. Those immunization studies resulted in the clearance of AB plaques and improved cognitive performance in APP tg mice (Schenk et al., 1999; Morgan et al., 2000). However, a phase II clinical trial using active immunization with the full-length Aβ<sub>42</sub>, called AN-1792 (Janssen Pharmaceutica, Pfizer, Inc.), had to be stopped because of meningoencephalitis in 6% of immunized patients potentially caused by T-cell and microglia activation (Nicoll et al., 2003; Orgogozo et al., 2003; Holmes et al., 2008). Nevertheless, post-mortem analyses of the subjects enrolled in this trial revealed reduced plaque deposition in cortical brain regions (Nicoll et al., 2003; Nicoll et al., 2006).

Severe side-effects caused by active immunization led to passive immunization approaches, in which  $A\beta$  specific antibodies are applied activating clearance mechanisms in the brain such as  $F_c$ -receptor-mediated phagocytosis of microglia cells and subsequent peptide degradation (Bard et al., 2000). Peripheral administration of humanized monoclonal  $A\beta$  antibodies reduced or even prevented amyloid fibril formation *in vitro* (Solomon et al., 1996) and *in vivo* in mice (Bard et al., 2000; Pfeifer et al., 2002; Lee et al., 2006; Fuller et al.,

2015). Although antibodies struggle to cross the BBB, they appear to be highly specific, safe, and well tolerated (Graham et al., 2017). Nevertheless antibody infusion also induced cerebral hemorrhages at amyloid-laden vessels (Pfeifer et al., 2002) and neuronal hyperactivity (Busche et al., 2015). The first human studies used N-terminal Aβ antibodies, which induced amyloid-related imaging abnormalities (ARIA), including vasogenic edema and microhemorrhages in a couple of patients (Salloway et al., 2009; Sperling et al., 2011). For example, the N-terminal Aβ antibody GSK933776 (GlaxoSmithKline PLC) (Leyhe et al., 2014) was discontinued due to the lack of clinical efficacy. Afterwards, antibodies with a more central epitope of AB were designed. Solanezumab (Eli Lilly & Co.) for example recognizes the mid-domain of AB, which becomes unavailable to the antibody during the process of Aβ aggregation, and therefore removes mainly small soluble Aβ species (Crespi et al., 2015). In contrast, Crenezumab (Genentech, Inc.) (Crespi et al., 2015) and Gantenerumab (Chugai Pharmaceutical Co., Ltd., Hoffmann-La Roche) (Bohrmann et al., 2012) recognize rather aggregated forms of  $A\beta$  and are currently tested in a phase II and III trial, respectively. In other clinical trials mild to moderate AD patients have been treated with AAB-003 (Janssen Pharmaceutica, Pfizer, Inc.) binding fibrillar and soluble Aß species, whereas early AD subjects have been infused with the Aß protofibril specific antibody BAN2401 (BioArtic Neuroscience AB, Biogen, Inc., Esai Co., Ltd.). Another anti-Aß immunotherapeutic drug is the human monoclonal antibody Aducanumab (Biogen, Inc.) generated from healthy, aged donors. It binds a conformational epitope targeting aggregated forms of A\u03c3. In a phase I clinical trial Aducanumab decreased amyloid deposition and delayed cognitive decline in prodromal to mild AD patients, which is why Aducanumab is currently tested in a phase III trial (Sevigny et al., 2016).

In addition to the promising amyloid-β-directed strategies there are also other possibilities for therapeutic intervention. Tau-related agents are a reasonable strategy for the treatment of AD since the accumulation of tau protein in neurons is postulated to have severe consequences on cellular function (Hardy & Selkoe, 2002). Anti-tau immunotherapy (Godyn et al., 2016) and inhibitors of tau aggregation such as *Methylene Blue* (Schirmer et al. 2011; Stack et al., 2014) promote protein degradation and avert tau-associated cytotoxicity. Another reasonable therapeutic approach to reduce the risk of dementia includes anti-inflammatory drugs (Miguel-Alvarez et al., 2015). The critical role of microglia in the pathogenesis of AD was highlighted by GWAS, which discovered that genetic alterations modulating AD risk are

enriched in genomic regions that regulate the gene expression of microglial proteins (Huang et al., 2017). However, the best way to modulate the immune system without inducing toxic effects remains unclear. Although the effectiveness of non-pharmacological lifestyle changes including physical exercise, cognitive activity, energy restriction, and social engagement (Jedrziewski et al., 2014) in preventing the development of AD after diagnosis seems to be highly unlikely, the onset and the progression of the disease could possibly be delayed (Barnes & Yaffe, 2011). As AD is a multifactorial disease, a combination of disease-modifying therapeutics and lifestyle and environmental factors might be in favor of prevention.

### 2.2.2 Necessity of early biomarkers to detect and monitor pathological changes

A definite diagnosis for AD requires the analysis of post-mortem brain tissue revealing neuritic plaques and neurofibrillary tangles. However, physicians rely on different measures to diagnose AD clinically on individuals with cognitive impairments. Therefore, the patient's recent history of mental and behavioral deficits is evaluated according to the criteria of the Diagnostic and Statistical Manual of Mental Disorders (DSM-V criteria) and of the National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association (NINCDS/ADRDA criteria). Physical and neuropsychological tests are combined with neuroimaging and biochemical biomarkers to specifically diagnose AD dementia. Biomarkers are objectively measurable, indicate or mirror either normal or pathological processes in the brain, and help to detect and diagnose diseases (Biomarkers Definitions Working Group, 2001). Furthermore they can be used to estimate the response to therapy and in the future potentially to individualize treatment (Zetterberg, 2017).

Brain atrophy as a measure of structural tissue loss and general neurodegeneration is assessed by magnetic resonance imaging (MRI) (Bobinski et al., 2000; Zarow et al., 2005). Prior to the development of pathology-specific Aβ tracers for positron emission tomography (PET), <sup>18</sup>F-fluorodeoxyglucose (FDG) PET was the most widely used imaging biomarker in AD based on altered cerebral glucose metabolism in AD patients (Chase et al., 1984; Foster et al., 1984; Minoshima et al., 1995; Mosconi et al., 2008). Nowadays, PET amyloid imaging is usually used to visualize brain Aβ deposition specifically (Klunk et al., 2004; Villemagne et al., 2011; Xu et al., 2016). Tracer molecules such as Pittsburgh compound B (PiB) or florbetapir

F 18 are injected intravenously, travel trough the blood to the brain, and attach to amyloid plaques (Klunk et al., 2004; Clark et al., 2011). Their radiant signal creates an informative picture about density and location of fibrillar A $\beta$  deposits (Ikonomovic et al., 2008; Fleisher et al., 2011; Sojkova et al., 2011). Although amyloid can accumulate in age in cognitively healthy individuals (Driscoll et al., 2011), the presence of a positive amyloid scan in a symptomatic patient is a strong indicator of AD (Johnson et al., 2012).

In addition or alternatively to the very costly PET scans AD can be diagnosed by biochemical tests investigating specific fluid biomarkers such as A $\beta$  and tau in the CSF. A $\beta_{42}$  peptides as major components of amyloid deposits (Masters et al., 1985) decline in the CSF of AD patients (Motter et al., 1995; Olsson et al., 2016). In line with the imaging biomarkers, CSF A $\beta_{42}$  is sequestered in growing brain aggregates, which is why CSF A $\beta_{42}$  levels inversely correlate with the plaque burden at autopsy (Strozyk et al., 2003; Tapiola et al., 2009). However, a better predictor of cognitive performance than amyloid alone is tau (Giannakopoulos et al., 2003). Abnormally phosphorylated tau proteins accumulate to neurofibrillary tangles within neuronal cells releasing tau as a consequence (Grundke-Iqbal et al., 1986). Increased concentrations of either total (t-tau) or phosphorylated tau (p-tau) species can be measured in the CSF of AD patients by sensitive enzyme-linked immunosorbent assays (ELISA) (Vandermeeren et al., 1993; Vigo-Pelfrey et al., 1995; Olsson et al., 2016). Indeed p-tau provides the highest specificity for AD (Zetterberg, 2017). However, those biochemical markers should only be used in combination in order to reliably diagnose AD (Zetterberg et al., 2003).

Although APP tg mice lack NFTs, the above-mentioned AD characteristic CSF biomarker changes can be replicated remarkably well (Maia et al., 2013). The development of a novel and highly sensitive sandwich immunoassay using the single molecule array (SIMOA) technology enabled our laboratory to reliably measure murine CSF tau even in pre-depositing or non-tg mice. In APPPS1 mice a correlation between brain Aβ reflecting cerebral β-amyloidosis and an increase in CSF tau could be shown. To further validate the causal relationship between CSF tau and β-amyloid pathology, APPPS1 mice were treated with a potent BACE inhibitor (*NB-360*) (Neumann et al., 2015) to suppress Aβ production and the influence on tau in the CSF was tested. As mentioned in chapter 2.2.1, *NB-360* application significantly reduces brain Aβ levels and plaque burden in APP tg mice after one month (Neumann et al., 2015). However, in this study *NB-360* was administered to early-depositing

APPPS1 mice and APP23 mice with moderate pathology for six months. In both mouse models brain Aβ as well as amyloid burden were significantly reduced after BACE inhibition compared to control littermates revealing levels similar to six months younger animals (Schelle et al., 2017). Hence, we published in Alzheimer's & Dementia: The Journal of the Alzheimer's Association that NB-360 is very effective in lowering brain AB levels and therefore prevents the progression of cerebral  $\beta$ -amyloidosis without clearing pre-existing A $\beta$ plaques (Schelle et al., 2017). Strikingly, not only Aβ was affected by our NB-360 treatment but also the age-dependent increase of CSF tau levels was completely prevented (Schelle et al., 2017). After short-term BACE inhibition in young APPPS1 and APP23 mice decreased CSF AB but unaltered CSF tau levels were observed and therefore any direct effects of soluble Aβ or the BACE inhibitor itself on CSF tau were excluded (Schelle et al., 2017). In conclusion, we have reported a mechanistic link between AB deposition and CSF tau suggesting CSF tau changes as potential marker to predict the efficiency of BACE inhibitors in clinical trials. Furthermore those findings indicate that the effects of BACE inhibition are not limited to Aβ, but include downstream pathogenic events highlighting the great potential of BACE as target for therapeutic AD intervention.

Although the treatment prevented further damage with regard to plaque burden and CSF tau levels, already present cerebral  $\beta$ -amyloidosis could not be reduced. Furthermore, the drug was administered continuously for the entire treatment period. For economical and practical reasons the prevention of the disease using only a short therapeutic paradigm applied at the critical time point of initial  $A\beta$  aggregation would be the goal to solve this health burden.

A longitudinal study in families with AD mutation-carriers and non-carriers shed light on the temporal sequence of pre-symptomatic pathophysiological changes in relation to the expected onset of AD symptoms based on the parental age of symptom-onset. It could be shown that  $A\beta_{42}$  concentrations in the CSF already started to decline 25 years before the expected onset of the clinical disease (Bateman et al., 2012).  $A\beta$  deposits were detectable approximately 15 years before first symptoms arose (Bateman et al., 2012). A few years later, CSF tau increased to abnormally high levels, validating again that  $A\beta$  aggregation is an early event preceding tangle formation (Hardy & Selkoe, 2002; Bateman et al., 2012; Jack & Holtzman, 2013). Hence, even at the stage of early clinical disease,  $A\beta$  deposition already reached a plateau and other pathogenic processes such as tau pathology spread and microglia activation

have progressed to an advanced state (Bateman et al., 2012; Walker et al., 2013). Although only familial AD patients were included in this study, the time course of pathogenic events is suggested to be similar for the sporadic form of AD as well (Villemagne et al., 2013). The results of this study suggest that pathological changes precede the clinical manifestation of AD by up to two decades. Therefore, diagnostic tests as well as clinical trials should be moved toward earlier stages of AD or even toward studies in asymptomatic patients (Sperling et al., 2014a; Hsu & Marshall, 2017). In other words,  $A\beta$  has to be targeted earlier in the course of the disease before regular brain function is affected and irreversible neurodegeneration has occurred. However, to this end initial  $A\beta$  aggregation needs to be understood and characterized first in order to develop effective disease-modifying treatments.

### 2.3 Longevity of Aβ seeds and their therapeutic potential

In reference to:

### Persistence of AB seeds in APP null mouse brain

Lan Ye\*, Sarah K. Fritschi\*, **Juliane Schelle**, Ulrike Obermüller, Karoline Degenhardt, Stephan A. Kaeser, Yvonne S. Eisele, Lary C. Walker, Frank Baumann, Matthias Staufenbiel, Mathias Jucker (2015). *Nature Neuroscience*, 18(11), pp.1559-1561. \*contributed equally

### 2.3.1 Prion-like properties of aggregated Aβ

Despite different clinical manifestations, a diverse group of neurodegenerative diseases including AD, Parkinson's disease (PD), amyotrophic lateral sclerosis (ALS), and many others share one common pathogenic principle based on the seeded aggregation of specific proteins in characteristic patterns and locations (Prusiner, 2012; Jucker & Walker, 2013; Walker & Jucker, 2015). Templated protein misfolding and propagation has been hypothesized to initiate the pathological transition from a soluble to an aggregated state and is called the prion paradigm because it was first investigated in prion diseases. Prions (proteinaceous infectious particles) are infectious agents that consist of abnormally folded prion protein (PrP) (Prusiner, 1982). Prion diseases are transmissible spongiform encephalopathies including Creutzfeldt-Jakob disease (CJD), Gerstmann-Sträussler-Scheinker disease (GSS), kuru, and fatal familial insomnia (FFI) in humans, scrapie in sheep, chronic wasting disease (CWD) of deer and elk, and bovine spongiform encephalopathy (BSE) in cows (Prusiner, 1998; Collins et al., 2004). In genetic as well as idiopathic forms the constantly generated prion protein (Chesebro et al., 1985; Oesch et al., 1985) misfolds and aggregates into PrP seeds (Jarrett & Lansbury, 1993; Prusiner, 1998; Prusiner, 2013). This induces a chain reaction forcing naïve PrP proteins into malformation proliferating to protofibrils and amyloid assemblies (Jarrett & Lansbury, 1993; Prusiner, 1998; Prusiner, 2013).

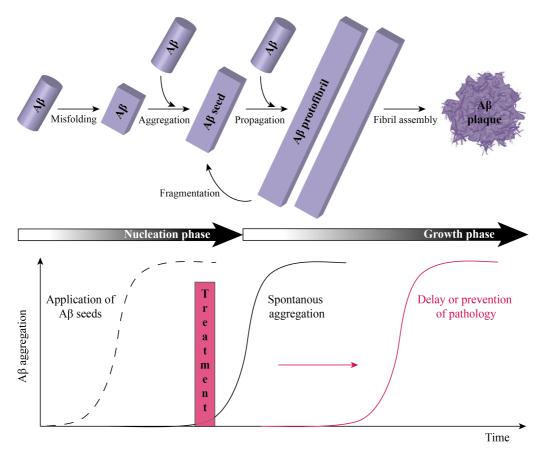
Recent findings suggest that this prion paradigm based on seeded corruption of naïve proteins underlies also other proteopathies including AD (Jucker & Walker, 2013). Although the

physiological function of the A $\beta$  peptide is largely unknown, it is constantly produced in the brain (Haass et al., 1992) and holds the ability to adopt more aggregation-prone conformations. Amyloidogenic proteins such as A $\beta$  seem to follow a nucleation-dependent polymerization process (Harper & Lansbury, 1997; Jarrett & Lansbury, 1993; Eisele, 2013). The single steps of this kinetic process are described in Figure 2. *In vitro* studies revealed that first of all the natively unfolded A $\beta$  protein misfolds into a kinetically unfavorable conformation rich in  $\beta$ -sheet structure and after a series of intermediate states it might aggregate into a multimeric nucleus (seed) (Harper & Lansbury, 1997; Jarrett & Lansbury, 1993). This slow process of initial seed formation is called nucleation phase (lag phase). Through conformational conversion, other A $\beta$  molecules are incorporated into the existing aggregate. Fragmentation of the progressively growing amyloid fibril generates more A $\beta$  seeds leading to a self-propagating process as part of the so called rapid growth phase (Jarrett & Lansbury, 1993; Roychaudhuri et al., 2009; Knowles et al., 2011). The initial lag-phase preceding amyloid formation can be shortened by the addition of preformed A $\beta$  seeds (Harper & Lansbury, 1997; Meyer-Luehmann et al., 2006).

Inoculation experiments support the nucleation-dependent polymerization of Aβ proteins by showing that AB deposition can also be induced *in vivo* following a prion-like mechanism. Young, pre-depositing APP tg mice were intracerebrally injected with minute amounts of brain homogenates from AD patients and controls (Kane et al., 2000; Walker et al., 2002; Meyer-Luehmann et al., 2006). After certain incubation time (lag phase) the animals developed cerebral β-amyloidosis in a time- and concentration-dependent manner (Meyer-Luehmann et al., 2006; Fritschi et al., 2014b). In contrast, extracts from control donors did not induce amyloid pathology (Kane et al., 2000; Meyer-Luehmann et al., 2006). To exclude the possibilities of induced AB lesions due to human-specific factors, brain material from aged APP tg donor mice as well as control mice were injected into the hippocampus of tg host mice (Meyer-Luehmann et al., 2006; Watts et al., 2011). Again, Aß was observed to aggregate, self-propagate, and spread to neighboring and neuroanatomically connected brain regions similar to extracts from AD patients (Walker et al., 2002; Meyer-Luehmann et al., 2006; Eisele et al., 2009; Hamaguchi et al., 2012; Ye et al., 2015b) and prions (Fraser, 1982; Buyukmihci et al., 1983; Kimberlin & Walker, 1986; Rangel et al., 2014). Even Aβ seeds applied to the periphery of APP tg mice initiated plaque formation in the brain after a certain incubation time, ruling out brain injury or an immunological response at the injection site as

reasons for the induced cerebral β-amyloidosis (Eisele et al., 2010; Eisele et al., 2014). However, prion disease can be induced *de novo* in animals that usually would not develop the pathology (Prusiner, 1998; Soto, 2011). Therefore, APP tg rodents without Aβ deposits within the normal lifespan were inoculated with Aβ seeds and plaque deposition was induced. Hence, there was no acceleration of an ongoing process but soluble Aβ peptides were triggered to aggregate (Morales et al., 2012; Rosen et al., 2012). Like recombinant prion proteins (Legname et al., 2004), synthetic Aβ peptides have only poor potency to aggregate (Stöhr et al., 2012). Nevertheless, synthetic Aβ can induce Aβ deposition and amyloid plaques in a living cellular environment (Friedrich et al., 2010; Novotny et al., 2016). Another feature of prion proteins is the adoption of conformationally distinct amyloid strains (Prusiner, 1998; Collinge & Clarke, 2007), a property that could also be confirmed for Aβ seeds (Meyer-Luehmann et al., 2006; Heilbronner et al., 2013; Stöhr et al., 2014; Watts et al., 2014).

Despite all the similarities between prions and Aβ seeds, until now it seems unlikely that AD is infectious in terms of transmissibility from person to person under ordinary circumstances (Walker et al., 2016). However, the first evidence for prion-like seeding of Aß in humans came from Jaunmuktane et al. in 2015. In this study, brains of eight people were investigated regarding AB pathology who were treated with growth hormones isolated from cadaveric human pituitary glands and died of CJD between 36 and 51 years of age (Jaunmuktane et al., 2015). Despite the young age and no present FAD mutation, half of the investigated hormone recipients showed significant amounts of cerebral β-amyloidosis (Jaunmuktane et al., 2015). This suggests a contamination of the 30 years before isolated and injected material not only with PrP prions but also with Aβ seeds (Jaunmuktane et al., 2015; Jucker & Walker, 2015). Even in people previously treated with cadaveric growth hormones who died of causes other than CJD A\beta pathology was found revealing its independence of the development of clinical CJD (Ritchie et al., 2017). However, the investigated subjects lacked cerebral tau pathology as well as clinical AD symptoms (Jaunmuktane et al., 2015; Ritchie et al., 2017). Whether those lesions would eventually have manifested later in life is unknown. But until the results of follow up studies of the surviving growth hormone recipients or other evidences emerge, AD is not proven to spread among humans in a sense that the disease-causing agent can be acquired from patients or environment and infect another person, like it is the case for prion diseases (Beekes et al., 2014; Jucker & Walker, 2015; Walker et al., 2016).



**Figure 2: Pathological cascade of amyloid β (Aβ) accumulation.** Misfolding of the Aβ peptide initiates the recruitment and malformation of natively folded Aβ monomers to form an oligomeric nucleus (Aβ seed). After this slow nucleation phase the Aβ seed self-propagates and forms amyloid protofibrils due to templated misfolding, which later accumulate into amyloid plaques. Within this rapid growth phase those fibrils can be fragmented again into smaller Aβ seeds. Addition of preformed seeds shortens the nucleation phase. Targeting initial Aβ seeds with anti-amyloid therapies might delay or even prevent cerebral β-amyloidosis (modified from Harper & Lansbury, 1997).

#### 2.3.2 Stability and durability of AB seeds

Infectious PrP prions are known to vary in size and stability and to resist even harsh thermal and biochemical treatments (Appel et al., 2001; Tzaban et al., 2002; Silveira et al., 2005; Wiggins, 2009; Prusiner, 2013). As  $A\beta$  seeds seem to be the driving force of AD, the robustness and potency of different  $A\beta$  species to induce cerebral  $A\beta$  deposition is of tremendous interest. Comparable to prions,  $A\beta$  seeds tend to be very durable agents. This was postulated because boiling of  $A\beta$  seeds for five minutes still retained seeding capacity (Meyer-Luehmann et al., 2006). Even stainless-steel wires coated with dried  $A\beta$ -rich brain material implanted into brains of APP tg mice were able to induce plaque deposition (Eisele et al., 2009). Like prions (Pattison, 1965),  $A\beta$  seeds are resistant to inactivation by

formaldehyde, discovered by induced A $\beta$  pathology in APP tg hosts inoculated with formaldehyde-fixed brain homogenates from AD patients or APP tg mice (Fritschi et al., 2014a). However, immunodepletion of A $\beta$ -laden extracts as well as formic acid treatment resolving the tertiary protein structure prevented cerebral  $\beta$ -amyloidosis in inoculated APP tg mice (Meyer-Luehmann et al., 2006). Taken together those results suggest that A $\beta$  seeds are extremely robust and A $\beta$ -containing tissue or material should be handled with highest caution.

To assess the seeding capacity of  $A\beta$  seeds, brain extracts of APP tg mice were subjected to 100.000~x g ultracentrifugation and both supernatant and pellet fraction were injected in the hippocampus of pre-depositing APP tg mice. Although the supernatant fraction contained only less than 1% of total brain  $A\beta$ , the soluble fraction induced about 30% of the  $A\beta$  plaque load compared to the pellet fraction (Langer et al., 2011). Hence, small soluble  $A\beta$  seeds appear to be the most potent  $A\beta$  species. Further characterization involved digestion of  $A\beta$  seeds by proteinase K (PK). The degree of destruction of soluble  $A\beta$  seeds by PK extended the sensitivity of larger insoluble seeds to PK neutralization (Langer et al., 2011). Moreover, the potency of soluble  $A\beta$  species was investigated using a dilution series of soluble brain extracts rich in  $A\beta$  seeds. In fact, even a 10.000-fold diluted soluble  $A\beta$  brain material sample stimulated  $A\beta$  pathology in young APP tg hosts (Fritschi et al., 2014b). However, CSF from AD patients or aged APP tg mice containing soluble  $A\beta$  seeds was not proven to be seeding active (Fritschi et al., 2014b).

The persistence of those very active and potent cerebral A $\beta$  seeds was further investigated in our recent study and published in *Nature Neuroscience* using different APP tg hosts (Ye et al., 2015a). APP23, wt, and *App* null (Calhoun et al., 1999) mice were injected into the hippocampus with brain extracts from aged APP tg mice. One, seven, and 30 days post inoculation (dpi) the hippocampi of those mice were assessed biochemically. One day post inoculation the injected human A $\beta$  was present in all three murine hosts at similar levels, whereas at seven and 30 dpi human A $\beta$  decreased below detection in *App* null and wt mice (Ye et al., 2015a). Although another study revealed that murine A $\beta$  co-deposits in human APP overexpressing mice (Mahler et al., 2015), in this experiment the low levels of mouse A $\beta$  in wt mice did not prevent the clearance of applied A $\beta$  seeds. However, in APP23 mice propagation of the injected A $\beta$  seeds could be detected (Ye et al., 2015a). Hence, cerebral  $\beta$ -

amyloidosis not only depends on the concentration of seeds applied but also on the availability of sufficient soluble A $\beta$  from the host to be incorporated into the exogenously applied seeds. Reinoculations (second passage) of the hippocampi extracted from Aß seedinjected App null mice into APP23 hosts were carried out and revealed residual seeding capacity. Already after four but even more prominent after eight months incubation time the App null 30 dpi hippocampal extract with no detectable Aβ induced amyloid deposition in an APP tg host (Ye et al., 2015a). Due to the unexpected results the experiment was repeated and the primary incubation time in the App null mice was extended up to six months. Again, the analyzed hippocampi revealed Aß levels below detection. However, second passage inoculation of this hippocampal extract revealed robust induction of cerebral β-amyloidosis in APP tg mice (Ye et al., 2015a). In contrast, no induction was observed using wt extracts (Ye et al., 2015a). Consequently Aβ seeds in App null mice, despite the absence of replication and the presence of active clearance mechanisms, regained their pathogenicity and seeding activity as soon as host-derived AB became available again. The discovery of the extraordinary stability of AB seeds in the brain up to 180 days supports the idea that AD pathogenesis is initiated before AB deposition becomes detectable and explains the long silent lag phase seen in AD patients prior to symptom-onset (Bateman et al., 2012; De Strooper & Karran, 2016). Therefore, therapies for AD need to target those durable Aβ seeds at an early stage because apparently they are able to hide in yet unknown cellular compartments without loosing their toxicity. Ideally, the initial seed formation should be prevented at the first place.

### 2.4 Targeting initial Aβ seeds for the prevention of Alzheimer's disease

In reference to:

### Prevention of cerebral β-amyloidosis by targeting initial Aβ seeds

**Juliane Schelle**, Sarah K. Fritschi, Ulrike Obermüller, Lisa M. Häsler, Marius Lambert, Stephan A. Kaeser, Ulf Neumann, Derya R. Shimshek, Matthias Staufenbiel, Mathias Jucker (2017). Manuscript in preparation.

## 2.4.1 Clinical trials for Alzheimer's disease and possible reasons for recent failures

The fact that one out of nine people older than 65 years and one out of three for those over age 85 suffer from AD (Alzheimer's Association, 2014), has justified many scientific research approaches as well as clinical studies throughout the last decades. As mentioned in chapter 2.2.1, approved drugs for AD only relieve symptoms and do not change the course of the disease. Although we have learned a lot about AD pathophysiology and evidence for the lowering and neutralization of  $A\beta$  as a useful therapeutic approach has accumulated, many phase II and III clinical trials investigating disease-modifying agents have failed as displayed in Table 1 (Graham et al., 2017). This chapter is dedicated to potential causes for those clinical trial failures.

For example, the non-selective  $\gamma$ -secretase inhibitor *Semagacestat* (Eli Lilly & Co.) effectively lowered brain A $\beta$  levels but induced serious side effects and worsened cognitive performance in a phase III trial due to missing substrate selectivity (Doody et al., 2013; De Strooper, 2014). Therefore, instead of stopping proteolysis,  $\gamma$ -secretase modulators are in favor now that shift the ratio of different A $\beta$  species toward less toxic ones. Not only  $\gamma$ -secretase blockers, but also many BACE inhibitors such as RG7129 (Roche), LY2811376 (Eli Lilly & Co.), and LY2886721 (Eli Lilly & Co.) failed to manage off-target effects and to pass toxicology studies.

Despite the disappointment about all failed clinical trials, numerous lessons can be learnt. Further critical examination of the failed antibodies as well as BACE inhibitor studies revealed that some of the enrolled subjects might not have had AD as indicated by negative

amyloid PET scans (Karran & Hardy, 2014; Salloway & Sperling, 2015). Fluid as well as imaging biomarkers including CSF A $\beta$ , CSF t-tau and p-tau, PET amyloid, and structural imaging with MRI should be included to select only amyloid-positive trial subjects that presumably develop AD (Morris et al., 2009; Lim et al., 2013; Vos et al., 2013). This will improve the design of clinical studies and will increase the possibility to detect treatment effects in the future.

Furthermore appropriate clinical endpoints need to be selected. Recently, the FDA issued new guidelines for clinical trials in early AD. Although AD studies in demented people require both cognitive and functional outcome measures, only a single cognitive endpoint needs to be achieved for trials in preclinical AD (Kozauer & Katz, 2013).

In addition to the above-mentioned issues there are many other possible explanations for unsuccessful individual agents such as a lack of drug potency, insufficient compound safety, and the presence of co-pathologies. However, most likely disease severity, target engagement, and the advanced AD stage of selected subjects caused most of the recent failures in clinical AD trials. For example, Verubecestat (Merck & Co, Inc.), a small molecule non-selective BACE inhibitor, showed good tolerability without withdrawals due to side effects and CSF Aβ reductions of up to 90% in mild to moderate AD patients in a phase II/III EPOCH trial (Kennedy et al., 2016) but failed to significantly slow disease progression. Also the monocloncal antibody Bapineuzumab (Pfizer, Inc., Johnson & Johnson Pharmaceutical Company, Janssen Pharmaceutica, Elan Pharmaceuticals, Inc.) with its Nterminal Aß epitope binding Aß monomers, oligomers, and plaques significantly decreased PET amyloid signals as well as CSF p-tau levels (Rinne et al., 2010; Blennow et al., 2012) but induced severe side effects such as ARIA with edema and missed clinical endpoints in mild to moderate AD patients (Sperling et al., 2011). Thus, Bapineuzumab should not be used to treat patients with mild to moderate AD (Abushouk et al., 2017) similar to Verubecestat, which is currently tested in prodromal AD patients. Another example and the most recent disappointment in clinical AD trials is Solanezumab (Eli Lilly & Co.), described in chapter 2.2.1. No ARIA was evoked but also no cognitive benefit could be measured in moderate AD patients, leading to the discontinuation of this arm of the trial (Doody et al., 2014). In patients with only mild AD the same treatment showed slight improvements in cognitive tests over an 18-month period (Doody et al., 2014; Siemers et al., 2016) but failed to significantly decrease the rate of cognitive decline and CSF AB profile. As described in chapter 2.2.2, profound brain alterations occur long before dementia can be diagnosed. These findings may explain why therapeutic intervention at a moderate AD stage failed in all previous clinical trials. The treatment initiated in symptomatic patients was probably too late to ameliorate symptoms because Aβ deposition and neuronal loss had already begun and progressed for many years (Graham et al., 2017). At this point, treatments may have become ineffective and additionally cause a lot of side effects. Eventually the efficacy and safety of therapeutics can be increased if tried on pre-symptomatic subjects positive for AD imaging and CSF biomarkers and therefore at high risk to develop AD. Such secondary prevention trials aim to treat the underlying pathophysiology in order to prevent cognitive symptoms from ever developing or at least delay the onset of the disease (Graham et al., 2017; Hardy & De Strooper, 2017).

Five large secondary prevention trials, depicted in Table 2, have started recruiting cognitively normal subjects and include: 1) the Alzheimer's Prevention Initiative (API) Autosomal-Dominant AD (ADAD) trial, 2) the API APOE4 trial, 3) the Dominantly Inherited Alzheimer Network (DIAN) Trials Unit (DIAN-TU), 4) the Anti-Amyloid Treatment in Asymptomatic Alzheimer's Disease (A4) trial, and 5) the TOMORROW trial (Hsu & Marshall, 2017).

The API-ADAD and API-APOE4 trials have been enrolling cognitively healthy subjects who carry a *PSEN1* mutation or are homozygous for the APOE4 risk allele, respectively (Hsu & Marshall, 2017). The API-ADAD trial (Mullard, 2012) is using the Aβ specific antibody *Crenezumab* (Genentech, Inc.) described in chapter 2.2.1. The completion of the trial is estimated in 2020. The API-APOE4 trial is testing *CAD106* as an active amyloid vaccine (Winblad et al., 2012) as well as the BACE inhibitor *CNP520* (Novartis International AG, 2015) both developed by Novartis Pharmaceuticals.

The DIAN trials focus on families suffering from FAD carrying *PSEN1*, *PSEN2*, or *App* mutations (Mullard, 2012; Moulder et al., 2013; Hsu & Marshall, 2017). Cognitively normal individuals 15 years before the expected symptom onset, MCI, or mild AD subjects within those families are treated with *Solanezumab* (Eli Lilly & Co.) and/or *Gantenerumab* (Chugai Pharmaceutical Co., Ltd., Hoffmann-La Roche) (Ostrowitzki et al., 2012), the BACE inhibitor *JNJ-54861911* (Janssen Pharmaceutica, Shionogi), or placebo. Two-year follow up studies have been conducted assessing cognitive measures, neuroimaging, and CSF biomarkers.

The first prevention trials in pre-symptomatic subjects at high risk to develop LOAD based on abnormal amyloid PET scans are conducted in Australia and are called A4 and A5 study (Sperling et al., 2014b; Hsu & Marshall, 2017). With regards to the A4 trial, a total of 5000 individuals should receive monthly infusions of either *Solanezumab* (Eli Lilly & Co.) or placebo for slightly more than three years. The A5 trial is evaluating the efficiency of Janssen's BACE inhibitor in about 2000 people. Similar to the DIAN trials, cognitive tests will be the primary outcome measure followed by neuroimaging and biochemical biomarkers.

The TOMORROW trial is the only study that is not based on the amyloid hypothesis but rather focuses on the genetic enrichment of the *translocase of outer mitochondrial membrane* 40 homolog (TOMM40) gene, which is linked to APOE & gene (Hsu & Marshall, 2017). Both genes predict cognitive aging in late onset AD (Roses et al., 2010; Caselli et al., 2012). They plan to enroll 6000 cognitively normal individuals and treat them randomly with the mitochondrial targeting agent *Pioglitazone* (Takeda Pharmaceutical Company), which is an approved anti-diabetic drug (Geldmacher et al., 2011; Sato et al., 2011).

Table 2: Current secondary prevention trials in Alzheimer's disease

Trial	Intervention	Study sample	Location	Start	Duration
API-ADAD	Crenezumab	Presenilin-1 carriers	Colombia	2013	5 years
API-APOE4	CAD106, CNP520	APOE4 homozygotes	North America, Europe	2015	5 years
DIAN-TU	Gantenerumab, Solanezumab	Cognitively normal, MCI, or mild AD mutation carriers	U.S., Canada	2013	2 years
A4	Solanezumab	Cognitively normal people with abnormal amyloid PET	U.S., Canada, Australia	2014	3.25 years
TOMORROW	Pioglitazone	Cognitively normal people with genetic risk of TOMM40 and APOE4	Worldwide	2014	5 years

Updated summer 2017; A4 = Anti-Amyloid Treatment in Asymptomatic Alzheimer's Disease; AD = Alzheimer's disease; ADAD = Autosomal-Dominant AD; API = Alzheimer's Prevention Initiative; APOE4 = apolipoprotein Ε ε4; DIAN-TU = Dominantly Inherited Alzheimer Network Trials Unit; MCI = mild cognitive impairment; PET = positron emission tomography; TOMM40 = translocase of outer mitochondrial membrane 40 homolog (adapted from Hsu & Marshall, 2017)

#### 2.4.2 Delay of cerebral β-amyloidosis by short and early combination therapy

The failed clinical trials using anti-amyloid drugs in mild to moderate AD patients suggest that treatment during or even at the beginning of the long prodromal phase is the most promising therapeutic approach (De Strooper & Karran, 2016). Since preclinical treatment clearly causes ethical and practical difficulties, tg animals should be used first in order to predict the outcome of certain treatment strategies. Indeed, animal data mirrored accurately the negative outcomes, namely the unsuccessful clearance of established plaques, in clinical trials testing Solanuzumab (Eli Lilly & Co.) (DeMattos et al., 2001). Also BACE inhibitors used experimentally in mice prevented the progression of plaque development but did not clear existing Aβ deposits (Fukumoto et al., 2010; Schelle et al., 2017) similar to the findings obtained in human subjects. In mice it appears to be fairly easy to determine the onset of plaque deposition and CSF biomarker changes (Sturchler-Pierrat et al., 1997; Radde et al., 2006; Maia et al., 2013; Maia et al., 2015). Because mixing Aβ seeds with Aβ specific antibodies reduced the induction of cerebral β-amyloidosis in inoculated APP tg hosts (Meyer-Luehmann et al., 2006) and Aβ seeds could be at least partially degraded in App null mice (Ye et al., 2015a), both anti-Aβ immunization and a reduction in Aβ generation by secretase inhibition appear to be rational therapeutic paradigms to reduce Aβ seeds.

Many studies that are explained in chapter 2.1.2 suggested that  $A\beta$  aggregation is the trigger of a sequence of pathogenic events, which once initiated become independent of  $A\beta$  and at a final stage cause AD dementia (Karran et al., 2011). In line with this, a recent aging study indicates that early  $A\beta$  seeds are the most active ones in terms of seeding capacity and display the greatest seeding potency when inoculated in young, pre-depositing APP tg mice (Ye et al., 2017). Hence, a combination therapy should be applied during this critical time window as indicated in Figure 2, when the first  $A\beta$  seeds emerge, clearing  $A\beta$  seeds with an  $A\beta$  specific antibody and blocking the production of soluble  $A\beta$  by BACE inhibition. Although BACE inhibitors are less expensive and have clearer endpoint measures than antibodies, a combination of both anti- $A\beta$  approaches given at an early time point might provide better clinical outcomes. To prove that  $A\beta$  seeds can be inactivated by short and early  $A\beta$  immunotherapy, the plaque-clearing  $A\beta$  specific antibody Beta-1 ( $\beta I$ ) was injected (Pfeiffer et al., 2002; Meyer-Luehmann et al., 2006) into young,  $A\beta$  seed-inoculated APP23 mice once daily for five consecutive days. This short immunization was sufficient to

ameliorate the induction and propagation of A $\beta$  aggregation four months later. Afterwards, the  $\beta I$  was combined with the potent BACE inhibitor NB-360, which was previously shown to effectively reduce A $\beta$  in CSF and brain as well as to stop amyloid formation (Schelle et al., 2017). The combination of NB-360 and  $\beta I$  was applied to young APP tg mice at a defined pre-depositing stage targeting first endogenous A $\beta$  seeds. This short and early intervention of initial A $\beta$  aggregation decreased A $\beta$  seeds acutely but also delayed the onset of A $\beta$  plaque pathology even after discontinuation of the treatment for several months. The findings could be replicated in APP51 mice overexpressing human wt APP, which suggests that targeting amyloid seeds shortly but at a pre-amyloid stage and thereby interfering with the initial seeding phase of amyloid formation by A $\beta$  vaccination and BACE inhibition, might be an effective and promising therapeutic approach to prevent cerebral  $\beta$ -amyloidosis and eventually early- and late-onset AD.

#### 2.5 Concluding remarks and outlook

Although effective disease-modifying drugs for the delay or even the prevention of AD still remain to be verified, many scientific studies and clinical trials have contributed to a basic understanding of the disease throughout the last decades. Since the publication of the amyloid hypothesis 25 years ago, many papers have revised the linearity of pathologenic events and have presented highly complex and interacting pathological pathways of proteostasis, lipid metabolism, vasculature, glial activation, and neuronal circuitries. However, the original sequence of pathogenic events still appears to hold its ground: 1) A $\beta$  peptides aggregate and form A $\beta$  seeds in a self-propagating nucleation dependent process; 2) inflammatory responses, tau pathology, synaptic dysfunctions, and neuronal loss follow as downstream events; 3) the combination of A $\beta$  and tau pathologies characterizes AD dementia, despite the fact that almost everyone develops some tau pathology during aging, whereas only a subset of individuals show cerebral  $\beta$ -amyloidosis (Hardy & Higgins, 1992; Hardy & Selkoe, 2002; Selkoe & Hardy, 2016). Although the complex biology of the disease offers numerous flanks for attack by therapies, the previous chapters summarize the rationale behind A $\beta$  as the most promising therapeutic target.

One of the presented studies showed that the initial trigger of the disease pathology can be targeted by effective BACE inhibition. Reducing the  $\beta$ -secretase activity prevented plaque formation and additionally affected downstream AD pathophysiology such as increasing CSF tau concentrations. Therefore BACE inhibitors not only serve as potent anti-amyloid drugs but their effectiveness can also be monitored in clinical trials by evaluation of tau levels in the CSF.

However, BACE inhibitors or other A $\beta$  lowering agents applied to mild to moderate AD patients have resulted in negative clinical trial outcomes due to induced side effects and/or unaltered cognitive performance. The fact that pathological changes become measurable decades before first AD symptoms arise (Bateman et al., 2012), suggests the need for better characterization of this long pre-symptomatic period and earlier therapeutic intervention.

A recent paper from our laboratory confirms that the most seeding-active  $A\beta$  aggregates occur at early stages of the amyloid formation (Ye et al., 2017). In APP tg mice it could already be shown experimentally that targeting  $A\beta$  using a  $\gamma$ -secretase inhibitor prior to deposition has the most significant impact on cerebral  $\beta$ -amyloidosis compared to less

effective treatments at later stages (Das et al., 2012). However, lowering soluble  $A\beta$  generation to a certain level did not prevent amyloidosis completely and might not be sufficient to tackle  $A\beta$  seeds (Das et al., 2012; Schelle et al., 2017). The described characterization of  $A\beta$  seeds unveiled their tremendous durability as well as their ability to regain pathogenic activity after a silent phase of up to six months. Those experiments confirm the high therapeutic potential of targeting early  $A\beta$  seeds for AD treatment.

On that account, a combination of two anti-A $\beta$  strategies seems to be a reasonable therapeutic approach. That is why the age of potential A $\beta$  seed formation was determined in APP tg mice, which were then treated with a combination of a BACE inhibitor to turn off A $\beta$  production and a non-selective A $\beta$  antibody to reduce A $\beta$  seeds during this critical time window. After discontinuation of the treatment brain A $\beta$  levels as well as plaque burden were still significantly reduced compared to controls. However, comparing the levels to a 1.5 months younger control group revealed a shift in pathology by only the treatment period. Therefore amyloid pathology was successfully delayed, although only by the length of the treatment.

Hence, a  $\beta$ -secretase inhibitor should be combined with an antibody that selectively binds  $A\beta$  seeds over monomers. Applied at the beginning of  $A\beta$  seed formation this combinational therapy should prevent the development of plaques, downstream effects, and therefore AD. Furthermore, validated and standardized biomarkers should be included in each trial to help identify at-risk participants and synchronize AD measures. Asymptomatic individuals endangered to develop AD should be treated at the right time point with a combination of disease-modifying drugs such as BACE inhibitors and passive  $A\beta$  seed immunization strategies. Taken together, this early anti- $A\beta$  seed-specific therapy interfering with the pathological cascade should enable us to prevent this devastating disorder, deescalate this burdensome health situation, and change people's lives.

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#### 3. Publications

#### 3.1 Description of personal contribution

I. Prevention of tau increase in cerebrospinal fluid of APP transgenic mice suggests downstream effect of BACE1 inhibition

**Juliane Schelle**, Lisa Häsler, Jens C. Göpfert, Thomas O. Joos, Hugo Vanderstichele, Erik Stoops, Eva-Maria Mandelkow, Ulf Neumann, Derya R. Shimshek, Matthias Staufenbiel, Mathias Jucker, Stephan A. Kaeser

**Personal contribution:** Experimental design and planning of the study (together with M.S, M.J. and S.A.K.); BACE inhibitor treatment of mice; CSF collection and tissue harvesting (together with S.A.K.); histology and immunohistochemistry; stereological analysis of plaque load; image acquisition and processing; statistical analysis (together with S.A.K.); figure design and preparation (together with S.A.K.); writing manuscript (together with M.S., M.J., and S.A.K. and the help of all the other authors).

Others: L.H., J.C.G, and S.A.K. performed the tau assay development and measurements. T.O.J., H.V., E.S., U.N. D.R.S., and M.S. provided crucial research reagents. M.S., M.J. and S.A.K. designed the study and with the help of all other authors prepared the manuscript.

II. Persistence of  $A\beta$  seeds in APP null mouse brain

Lan Ye\*, Sarah K. Fritschi\*, **Juliane Schelle**, Ulrike Obermüller, Karoline Degenhardt, Stephan A. Kaeser, Yvonne S. Eisele, Lary C. Walker, Frank Baumann, Matthias Staufenbiel, and Mathias Jucker \*contributed equally

**Personal contribution:** Histological and morphological analysis (together with L.Y. and U.O.); light image acquisition and image processing (together with L.Y.).

Others: L.Y., S.K.F., and U.O. performed the experimental work. L.Y., S.K.F., U.O., K.D., S.A.K., F.B. and M.J. carried out the analysis. Experimental design and preparation of the manuscript was done by L.Y., S.K.F., Y.S.E., L.C.W., M.S. and M.J.

**III.** Prevention of cerebral  $\beta$ -amyloidosis by targeting initial  $A\beta$  seeds

**Juliane Schelle**, Sarah K. Fritschi, Ulrike Obermüller, Lisa M. Häsler, Marius Lambert, Stephan A. Kaeser, Ulf Neumann, Derya R. Shimshek, Matthias Staufenbiel, Mathias Jucker

**Personal contribution:** Experimental design and planning of the study (together with S.K.F., S.A.K., M.S., and M.J.); stereotactic injections of brain extract (together with S.K.F.); passive  $A\beta$  immunization; BACE inhibitor treatment; brain tissue processing; immunohistochemical analyses; quantification of  $A\beta$  plaque load (together with S.K.F.); statistical analyses; figure design and preparation; writing manuscript.

Others: S.K.F., U.O., L.H., M.L., and S.A.K. performed the experimental work. U.N., D.R.S., and M.S. provided crucial research reagents. S.K.F., S.A.K., M.S., and M.J. designed the study.

# 3.2 Prevention of tau increase in cerebrospinal fluid of APP transgenic mice suggests downstream effect of BACE1 inhibition

Juliane Schelle, Lisa Häsler, Jens C. Göpfert, Thomas O. Joos, Hugo Vanderstichele, Erik Stoops, Eva-Maria Mandelkow, Ulf Neumann, Derya R. Shimshek, Matthias Staufenbiel, Mathias Jucker, Stephan A. Kaeser

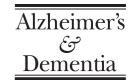
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Alzheimer's & Dementia 13 (2017) 701-709



#### Featured Article

# Prevention of tau increase in cerebrospinal fluid of APP transgenic mice suggests downstream effect of BACE1 inhibition

Juliane Schelle<sup>a,b,c</sup>, Lisa M. Häsler<sup>a,b,d</sup>, Jens C. Göpfert<sup>d</sup>, Thomas O. Joos<sup>d</sup>, Hugo Vanderstichele<sup>e</sup>, Erik Stoops<sup>e</sup>, Eva-Maria Mandelkow<sup>f,g</sup>, Ulf Neumann<sup>g</sup>, Derya R. Shimshek<sup>h</sup>, Matthias Staufenbiel<sup>a</sup>, Mathias Jucker<sup>a,b,\*</sup>, Stephan A. Kaeser<sup>a,b,\*</sup>

<sup>a</sup>Department of Cellular Neurology, Hertie Institute for Clinical Brain Research, University of Tübingen, Tübingen, Germany

<sup>b</sup>DZNE, German Center for Neurodegenerative Diseases, Tübingen, Germany

<sup>c</sup>Graduate School of Cellular and Molecular Neuroscience, University of Tübingen, Tübingen, Germany

<sup>d</sup>Natural and Medical Sciences Institute at the University of Tübingen, Reutlingen, Germany

<sup>e</sup>ADx NeuroSciences, Gent, Belgium

<sup>f</sup>DZNE, German Center for Neurodegenerative Diseases, Bonn, Germany

<sup>g</sup>CAESAR Research Center, Bonn, Germany

<sup>h</sup>Novartis Institutes for BioMedical Research, Neuroscience, Basel, Switzerland

#### **Abstract**

**Introduction:** The inhibition of the  $\beta$ -site amyloid precursor protein-cleaving enzyme 1 (BACE1) is a main therapeutic approach for the treatment of Alzheimer's disease (AD). We previously reported an age-related increase of tau protein in the cerebrospinal fluid (CSF) of amyloid  $\beta$  (A $\beta$ ) precursor protein (APP) transgenic mice.

**Methods:** APP transgenic mice were treated with a potent BACE1 inhibitor. CSF tau and CSF  $A\beta$  levels were assessed. A novel high-sensitivity tau sandwich immunoassay was developed.

**Results:** We demonstrate that long-term BACE1 inhibition prevents CSF tau increase both in early-depositing APP transgenic mice and APP transgenic mice with moderate  $A\beta$  pathology.

**Discussion:** Our results demonstrate that BACE1 inhibition not only reduces  $A\beta$  generation but also downstream AD pathophysiology. The tight correlation between  $A\beta$  aggregation in brain and CSF tau levels renders CSF tau a valuable marker to predict the effectiveness of BACE1 inhibitors in current clinical trials.

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Keywords:

Alzheimer's disease; Biomarker; CSF; Tau; BACE1 inhibitor; Treatment

#### 1. Introduction

The microtubule-associated protein tau (tau) and amyloid  $\beta$  (A $\beta$ ) peptides are the main components of neurofibrillary tangles and amyloid plaques, respectively, that in turn are the pathologic hallmarks of Alzheimer's disease (AD). Moreover, tau and A $\beta$  levels in the cerebrospinal fluid (CSF) are well-established biomarkers for AD progression

[1]. While CSF A $\beta$ —in particular the species ending with amino acid 42 (A $\beta$ <sub>42</sub>)—decreases with disease progression, total and phosphorylated tau increase. We previously showed that transgenic mouse models overexpressing human amyloid precursor protein (APP tg) replicate these AD CSF biomarker changes remarkably well [2]. While the CSF A $\beta$ <sub>42</sub> decrease was presumably caused by sequestration of A $\beta$ 42 to growing amyloid plaques, the observation of increased (murine) tau in CSF of APP tg mice was more surprising because they lack neurofibrillary tangles and overt neuron loss [2].

A widely followed the rapeutic concept in AD is the reduction of  $A\beta$  production through inhibition of  $\beta\text{-site}$ 

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<sup>\*</sup>Corresponding authors. Tel.:  $+49\ 7071\ 29\ 81947$ ; Fax:  $+49\ 7071\ 29\ 4521$ .

 $E-mail\ address:\ mathias.jucker@uni-tuebingen.de\ (M.J.),\ stephan. \\ kaeser@uni-tuebingen.de\ (S.A.K.)$ 

amyloid precursor protein-cleaving enzyme 1 (BACE1). A number of BACE1 inhibitors (BACEi) are currently being tested in clinical trials [3]. Their pharmacodynamic activity is primarily assessed by the reduction of Aβ levels in the CSF after short-term treatment. Long-term application is typically monitored by the suppression of amyloid plaque formation as shown in preclinical studies [4–6].

To test for downstream effects of BACE1 inhibition and to support the hypothesis of a causal relationship between CSF tau and  $\beta$ -amyloidosis, we treated APP tg mice with a potent BACEi (NB-360; Novartis, Basel, Switzerland) [6]. In addition, we developed a novel tau assay with very high sensitivity. Our results demonstrate that the administration of NB-360 for 6 months did not only block  $A\beta$  aggregation and deposition but also completely abolished the age-dependent increase of CSF tau in two different APP tg mouse lines. These findings are consistent with a linkage between amyloid increase and tau dysfunction and demonstrate downstream effects of BACE1 inhibition on tau. Thus, CSF tau levels cannot only be used to monitor AD progression but might also become an indispensible marker to predict the efficiency of BACEi in clinical trials.

#### 2. Methods

#### 2.1. APPPS1 mice

Male and female APPPS1 mice between 1.5 and 19 months of age and age-matched nontransgenic littermates were bred at the Hertie Institute for Clinical Brain Research (Tübingen, Germany). APPPS1 mice have been generated and are maintained on a C57BL/6J background and co-express human K670M/N671L—mutated APP and L166P-mutated presenilin 1 under the control of a neuron-specific Thy1 promoter element [7]. They develop first Aβ plaques after 6 weeks of age and show no gender effect. All mice were kept under specific pathogen-free conditions. The experimental procedures were undertaken in accordance with the veterinary office regulations of Baden-Württemberg (Germany) and approved by the local animal care and use committees.

#### 2.2. APP23 mice

Male heterozygous APP23 mice (15- to 21.5-month-old) [8] were all bred at the Hertie Institute for Clinical Brain Research. APP23 mice express the K670M/N671L—mutated human APP under control of the neuron-specific Thy1 promoter element at about sevenfold over endogenous (murine) APP. The mice were initially generated on a B6D2 background but have since been bred with C57BL/6J mice over more than 20 generations. APP23 mice have been reported to develop plaques beginning at 6 to 8 months of age, and plaque development is faster in females than in males [8,9]. Therefore, only male animals were used for this study. All mice were kept under specific pathogen-free conditions. The experimental procedures were conducted in accordance with the veterinary office regulations of

Baden-Württemberg (Germany) and were approved by the local animal care and use committees.

#### 2.3. BACEi treatment of APP transgenic mice

For the long-term treatment, 1.5-month-old male and female APPPS1 as well as 15-month-old male APP23 mice were fed with food pellets containing the BACEi NB-360 [6,10] for 27 and 29 weeks, respectively. The selected dose (0.5 g inhibitor/kg food pellets) yielded brain exposure similar to the 100 µmol/kg oral dose previously described [6]. Average plasma and brain levels of NB-360 over 24 hours for this dose were 1.2 and 4.8 µM, respectively. Age-matched control mice received control pellets without the drug for the same time period. For the short-term experiment, male and female APPPS1 mice (2 months of age) and male APP23 mice (3 months of age) were fed with NB-360 or control pellets for one week. Food pellets were available ad libitum until the mice were killed. Animals were monitored weekly during the entire treatment time. There were no differences in food consumption and body weight between BACEi-treated mice and control mice.

#### 2.4. CSF collection and tissue harvesting

For CSF collection, we adapted and refined protocols that were published previously [2,11]. Briefly, CSF was collected within a fixed time period of 4 hours to minimize circadian CSF A $\beta$  variations [12]. The mouse was deeply anesthetized with ketamine (100 mg/mL) and xylazine (10 mg/mL) and kept on a heating pad during the whole procedure to avoid hypothermia. Surgery was done under a dissecting microscope. The skin was opened by an incision from the bregma to the occipital bone and fixed by means of a colibri retractor. Underlying tissue and neck muscles were separated bluntly and kept apart using a retraction system (Fine Science Tools) to expose the cisterna magna with the overlaying dura. For the collection, the mouse was kept in a horizontal position and the head was bent over (approximately 45°) but not fixed. The dura was carefully perforated with a 30-gauge needle, and the CSF was collected with a GELoader Tip (Eppendorf) shortened by about 2 cm at the tip. CSF samples were immediately centrifuged at 2000g for 10 minutes at room temperature, assessed macroscopically for blood contamination, aliquoted (5 µL) into polypropylene tubes (Eppendorf), snap-frozen in liquid nitrogen, and stored at -80°C until use. After CSF collection, mice were perfused with ice-cold sterile phosphate-buffered saline (PBS). The brain was removed and one hemisphere was snap-frozen in dry ice or liquid nitrogen and stored at  $-80^{\circ}$ C until use. The other hemibrain was fixed in 4% paraformaldehyde with 0.1 M PBS, pH 7.6, for 48 hours at 4°C, immersed in 30% sucrose in PBS for an additional 48 hours at 4°C, snap-frozen in 2-methylbutane, and stored at  $-80^{\circ}$ C.

Mass spectrometry analysis of CSF samples for hemoglobin was done in the past to refine the CSF collection technique. However, in the present study, no influence of rarely detected small blood pellets on CSF tau levels was found.

#### 2.5. Biochemical analysis of brain tissue

Forebrains (hemibrains without cerebellum) from APP23 and APPPS1 mice were homogenized at 10% (wt/vol) in homogenization buffer (50 mM Tris pH 8.0, 150 mM NaCl, 5 mM EDTA, and protease/phosphatase inhibitor cocktail from Thermo Scientific) at 4°C using 7 mL lysing tubes with 2.8-mm ceramic beads and a tissue homogenizer (Precellys Bertin, Montigny-le-Bretonneux, France), twice at 5500 rpm for 10 seconds. The homogenized brain tissue was aliquoted and stored at  $-80^{\circ}$ C until use. For A $\beta$  measurements, the homogenates were extracted as follows: aliquots were thawed on ice, mixed 1:3.2 with cold formic acid (FA) (minimum 96% purity; Sigma, St. Louis, MO, USA), sonicated for 35 seconds at 4°C, and spun at 25,000g at 4°C for 1 hour. The supernatant was collected as the "FA-soluble fraction" and equilibrated (1:20) in neutralization buffer (1 M Tris base, 0.5 M Na<sub>2</sub>HPO<sub>4</sub>,  $0.05\% \text{ NaN}_3$ ).

#### 2.6. AB measurement in CSF and brain extracts

 $A\beta_{40}$  ( $A\beta_{x-40}$ ) and  $A\beta_{42}$  ( $A\beta_{x-42}$ ) concentrations in CSF and brain extracts from APP transgenic mice were determined with an electrochemiluminescence-linked immunoassay using the V-PLEX  $A\beta$  Peptide Panel 1 (6E10) Kit (Meso Scale Discovery, Gaithersburg, MD, USA). CSF was diluted 1:14 in buffer (Diluent 35, Meso Scale Discovery) and analyzed according to the manufacturer's instructions. FA-soluble total brain extracts were diluted up to 1:300 in buffer (Diluent 35, Meso Scale Discovery) before measurement. Data analysis used MSD DISCOVERY WORKBENCH software 2.0. Internal reference samples were used as controls in every plate.

## 2.7. Ultrasensitive sandwich immunoassay for tau measurements

For measurement of murine tau, a bead-based immunoassay was developed using Single Molecule Array (Simoa) technology (Quanterix, Lexington, MA, USA). A large set of anti-tau antibodies had initially been checked for reactivity with murine tau, running 10% (wt/vol) brain homog- $(B6.129X1-Mapt^{tm1Hnd})$ enates from tau-deficient (C57BL/6J-Tg[Thy1-[13]. human transgenic MAPT\*P301S]) [14], and wild-type (C57BL/6J) mice on Western blots (12% Bis-Tris PAGE; Thermo Scientific). Murine tau reactive antibodies were tested in a customized bead-based multiplex assay (Luminex, Austin, TX, USA) to select suitable antibody pairs. All antibodies were tested as capture and detection antibodies, and the most promising pairs (based on initial assessment of standard curve behavior and parallelism experiments) were transferred and further evaluated on the Simoa platform (Quanterix). The capture antibodies were immobilized on paramagnetic microparticles and the detection antibodies were biotinylated following standard procedures. Recombinant murine tau-430 was used as calibrator protein. ADx202 (ADx NeuroSciences, Gent, Belgium) for capturing and 77E9 (BioLegend, San Diego, CA, USA) for detection turned out to be the best performing antibody pair. Both antibodies bind to the proline-rich domain of tau, recognizing the region 218–225 (ADx202) and 185–195 (77E9) of the human sequence (or 207–214 and 174–184 of the murine sequence, respectively).

The assay was thoroughly validated before application in sample screening. Assessment of sensitivity and assay dynamic range revealed a lower limit of detection at 0.34 pg/ mL and lower and upper limit of quantification at 0.69 and 600 pg/mL, respectively (repeated measurement in independent assays; criteria: recovery 75%–125%, CV < 25%). CV of intra-assay precision was 6.9% (n = 20); inter-assay precision (low-, mid-, and high-concentration sample) showed a CV between 6.6% and 21.5% (triplicates over four independent assay runs). Parallelism analyses of pooled CSF from C57BL/6J wild-type mice showed 115%, 107%, 101%, and 77% recovery of endogenous tau when the final dilution was 20, 40, 80, and 160 times, respectively. (The average of all recalculated concentrations was considered as the 100% reference value.) Thus, murine CSF samples were measured in duplicates at a final dilution of 1:80. Specificity of the assay was confirmed with samples from tau null mice [13]. Spike-in recovery in native mouse samples was 121% to 132% throughout the assay range.

Samples were processed using a two-step assay protocol and measured on a HD-1 Analyzer (Quanterix). A weighted  $(1/Y^2)$  four-parametric logistics was used for curve fitting and calculation of tau protein content in mouse samples. All CSF tau measurements were done within a time window of 4 months. During this time, the assay performance was stable according to the standard curve and quality control (QC) samples (i.e., within a range of  $\pm$ 0 SDs).

Because of the limited amount of mouse CSF, diluted and spiked mouse blood plasma was used for internal assay QC. In each run, three QC samples at low, mid, and high concentrations of murine tau were measured in duplicates at a final dilution of 1:8. To exclude potential matrix effects on parallelism of blood samples, pooled EDTA plasma from C57BL/6J mice was assessed and revealed 101%, 97%, 100%, and 102% recovery of endogenous tau at the final dilution of 4, 8, 16, and 32 times, respectively.

#### 2.8. Histology and immunohistochemistry

After freezing, fixed brains were cut into serial 25- $\mu$ m-thick coronal sections using a freezing-sliding microtome. The sections were collected in tissue cryoprotection solution (35% ethylene glycol, 25% glycerol in PBS) and stained immunohistochemically according to previously published protocols using anti-A $\beta$  polyclonal antibody CN5, a follow

up version of CN3 (1:2000) [2]. According to standard protocols, sections were counterstained with Congo red for amyloid detection.

#### 2.9. Stereological analysis of total AB plaque load

Aβ plaque load was quantified in the neocortex of a representative section from an Aβ-immunostained set of every 12th systematically sampled, serial, coronal section. A microscope equipped with a motorized x-y-z stage coupled to a video microscopy system and the Stereo Investigator software (MicroBrightField, Inc., Williston, VT, USA) was used as previously described [15]. The person who performed the analysis was blinded to the treatment groups. The total Aβ load (percentage) was determined by calculating the areal fraction occupied by CN5-positive staining in two-dimensional sectors (20 × /0.45 objective).

#### 2.10. Statistical analysis

JMP (version 11.2) and GraphPad Prism (version 6.0) were used for statistical analyses and graphics. Normal distribution was assessed using the Shapiro-Wilk test. Non–normally distributed variables were logarithmically transformed. In

all cases, statistical significance was set at P < .05. All values are expressed as means  $\pm$  standard errors of mean.

#### 3. Results

#### 3.1. Age-related increase of tau in CSF of APPPS1 mice

We have previously reported an age-dependent increase of CSF tau in APP tg mouse models, concomitant with the progression of cerebral  $\beta$ -amyloidosis [2]. However, the commercially available assay that we used originally was not sensitive enough to reliably measure murine CSF tau in non-tg and young (pre- or early-depositing) APP tg mice. Thus, we now developed a novel sandwich immuno-assay using the Simoa technology, which improved sensitivity about 100-fold compared with other immunoassay platforms (for assay development and validation, see Supplementary Fig. 1).

CSF was collected for tau quantification from cohorts of APPPS1 mice and non-tg littermates between 1.5 and 19 months of age. CSF tau in APPPS1 mice revealed an age-dependent increase of up to sevenfold compared with the early-depositing phase and non-tg control mice (Fig. 1A). Our newly developed assay almost completely discriminated APPPS1 from non-tg control mice already at

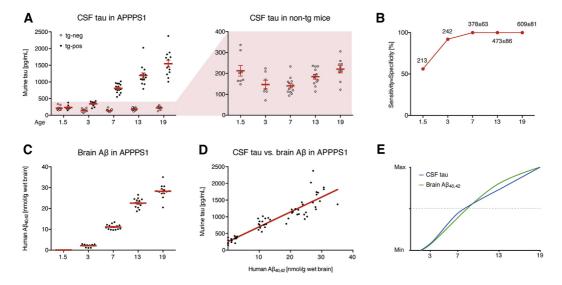


Fig. 1. Age-dependent increase of CSF tau and brain A $\beta$  in APPPS1 mice. (A) CSF tau was measured in 1.5- to 19-month-old male APPPS1 mice and nontg littermates (n = 7–15 per group) using a highly sensitive novel tau assay (Supplementary Fig. 1). Two-way analysis of variance (ANOVA) revealed significant age × genotype interaction [F(4, 100) = 45.8, P < .001]. Notably, the Tukey post hoc test revealed that CSF tau was already significantly increased in the 3-month-old APPPS1 mice compared with age-matched non-tg littermates (P < .001) and also compared with the 1.5-month-old APPPS1 mice (P < .05). Detailed analysis of the CSF tau levels in the non-tg mice (right panel) revealed a transient drop, which became significant at 7 months of age (P < .05). (B) Binary classification of APPPS1 and non-tg littermates based on CSF tau levels revealed a sensitivity and specificity of 55% and 92% for 1.5- and 3-month-old mice, respectively, and 100% for all the other age groups, assuming equal sensitivity and specificity. Arbitrary cutoff values (pg tau/mL CSF) were chosen as indicated for each age group to achieve best discrimination between the two genotypes. (C) Total brain A $\beta$  levels (sum of A $\beta_{x-40}$  and A $\beta_{x-42}$ , i.e., the species ending at amino acid 40 and 42) were measured in the same tg mice and revealed a robust increase with aging [ANOVA: P(4, 56) = 2101, P < .001]. The Tukey post hoc test indicated a significant difference among all age groups (P < .001), except between 13 and 19 months. (D) The relationship between CSF tau (A) and brain A $\beta$  concentration (C) is best described by a linear regression (P < .001) and CSF tau (blue) in APPPS1 mice shows a very similar profile with aging. The two curves are based on the mean values of panels (A) and (C). Data in (A) and (C) are presented as group means  $\pm$  standard errors of mean.

3 months of age (with 92% specificity and sensitivity); in the older age groups, CSF tau concentrations allowed an unambiguous segregation of APPPS1 mice and non-tg littermates (Fig. 1B).

As expected,  $A\beta$  levels in the brains of APPPS1 mice revealed a robust age-dependent increase (Fig. 1C) closely paralleling the CSF tau increase (Fig. 1E). A significant positive correlation between brain  $A\beta$  and CSF tau was apparent, indicating that the CSF tau concentration reflects the  $A\beta$  pathology (Fig. 1D).

## 3.2. A\(\beta\) pathology—associated tau increase in CSF is impeded by prolonged BACE1 inhibition

To more directly demonstrate a causal relationship between the stage of  $A\beta$  pathology in brain and tau in CSF, we tested if suppression of  $A\beta$  pathology would affect CSF tau concentrations. Therefore, we treated 1.5-month-old APPPS1 mice for 6 months with the BA-CEi NB-360 [6] while littermates were fed with control pellets (Fig. 2A). Aß immunohistochemistry revealed a 71% lower cortical AB plaque load in the brains of NB-360-treated mice compared with controls (Fig. 2B). Consistently, brain Aβ concentrations of NB-360-treated mice revealed a very strong reduction in comparison to mice fed with control food pellets and were similar to those of the 1.5-month-old mice (Fig. 2C). As anticipated from previous work [2],  $A\beta_{42}$ significantly decreased with age in CSF of APPPS1 mice. However, NB-360 treatment did not further decrease CSF  $A\beta_{42}$  (Fig. 2D).

When CSF tau was analyzed using our newly developed high-sensitivity tau sandwich immunoassay, we found the

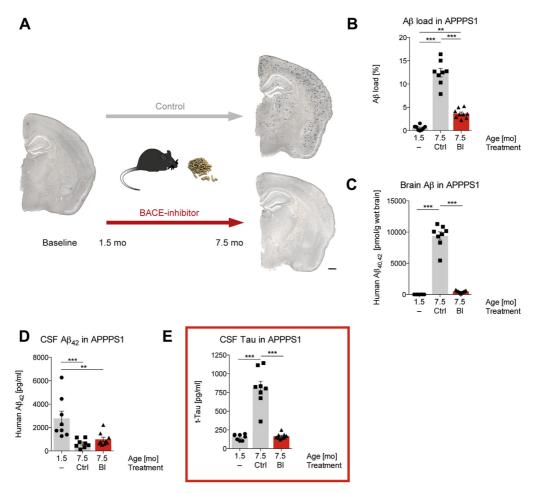


Fig. 2. BACE1 inhibition in APPPS1 mice prevents tau increase in CSF. Male and female APPPS1 mice (1.5-7.5 months) of age; 8-9 mice per group, gender equally distributed, i.e., 4-5 males/females per group) were either fed with food pellets containing a BACE1 inhibitor NB-360 (BI) or control pellets (Ctrl) for 6 months (27 weeks). (A) Schematic overview of experimental design. Shown are representative cortical brain sections for each group (scale bar is  $500 \mu m$ ). (B, C) Stereological quantification of neocortical A $\beta$  immunostaining (A $\beta$  load) and measurement of A $\beta$  levels in brain exhibited significant increases with age, which could largely be blocked by BACE1 inhibition [analysis of variance (ANOVA) F(2, 22) = 114.6 and 209.5, respectively, P < .001; the Tukey post hoc test \*\*P < .01, \*\*\*P < .001]. (D) CSF A $\beta_{42}$  in the 7.5-month-old APPPS1 mice was significantly decreased compared with 1.5-month-old mice with no further decrease after BACE1 inhibition [ANOVA: F(2, 22) = 13.58, P = .0001; the Tukey post hoc test \*\*P < .01, \*\*\*P < .001]. A similar result was found for A $\beta_{40}$  (not shown). (E) Tau in CSF revealed a significant age-dependent increase in control mice, which could be prevented by the application of a BACEi [ANOVA: F(2, 22) = 57.01, P < .001; the Tukey post hoc \*\*\*P < .001]. No gender effect was found in any of the measurements and males and females were combined. All data are represented as group means  $\pm$  standard errors of mean.

expected increase from 1.5 to 7.5 months of age in the APPPS1 mice fed with the control pellets. In striking contrast, CSF tau concentrations remained at baseline level (levels at the time the treatment was started) in the NB-360–treated APPPS1 mice (Fig. 2E).

To confirm these observations in a second mouse model and to assess the effect of BACE1 inhibition in a situation of preexisting A $\beta$  pathology, 15-month-old APP23 mice were treated with the same BACEi until the age of 21.5 months (Fig. 3A). Similar to APPPS1 mice, the increase in A $\beta$  plaque load and brain A $\beta$  levels could be almost completely impeded (Fig. 3B and 3C). Interestingly, in this mouse line, CSF A $\beta$ <sub>42</sub> was significantly reduced in NB-360-treated animals compared with baseline and control mice (Fig. 3D), and remarkably,

NB-360 fully prevented the increase of CSF tau levels also in APP23 mice (Fig. 3E).

### 3.3. CSF tau levels stay unaltered after short-term BACE1 inhibition

To test the possibility that the reduction of soluble  $A\beta$  or the BACEi itself could directly lead to a decline of CSF tau, that is, in the absence of changes in  $A\beta$  deposition, young APPS1 and APP23 mice were treated with NB-360–containing food pellets for one week. After this acute treatment, CSF  $A\beta_{42}$  levels revealed a significant reduction demonstrating the pharmacodynamic effect of the drug (Fig. 4A and 4C). However, CSF tau levels remained unaffected by the short-term treatment in both APPPS1 and APP23 mice (Fig. 4B and 4D).

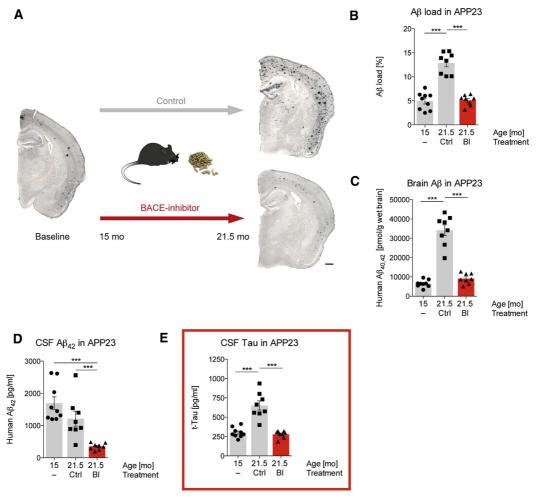


Fig. 3. BACE1 inhibition in APP23 mice prevents tau increase in CSF. Male APP23 mice (15–21.5 months of age; 8–9 mice per group) were either fed with food pellets containing a BACE1 inhibitor NB-360 (BI) or control pellets (Ctrl) for 6.5 months (29 weeks). (A) Schematic overview of experimental design including representative cortical brain sections for each group (scale bar is 500  $\mu$ m). (B, C) Stereological quantification of cortical A $\beta$  immunostaining (A $\beta$  load) and measurements of A $\beta$  levels in brain exhibited significant age-dependent increases, which could be completely blocked by BACE1 inhibition [ANOVA: F(2, 22) = 52.61 and 81.58, P < .0001; the Tukey post hoc test \*\*\*P < .001]. (D) CSF A $\beta$ 42 in the NB-360–treated mice was significantly decreased compared with 15-month-old mice and age-matched controls [ANOVA: F(2, 22) = 33.81, P < .0001; the Tukey post hoc test \*\*\*P < .001]. A similar result was found for A $\beta$ 40 (not shown). (E) CSF tau exhibited a significant age-dependent increase in control mice, which could be suppressed by the application of BACEi [ANOVA: F(2, 22) = 31.85, P < .0001; the Tukey post hoc \*\*\*P < .001]. All data are represented as group means  $\pm$  standard errors of mean.

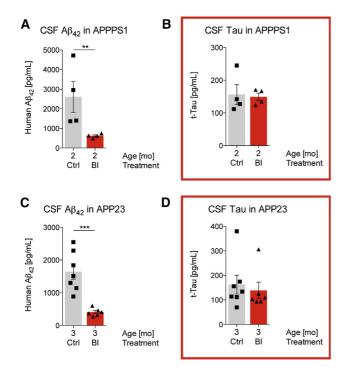


Fig. 4. Short-term BACE1 inhibition does not affect CSF tau levels. Male and female APPPS1 mice (2 months of age; 4 mice per group; gender equally distributed, i.e., 2 males/females per group) and male APP23 mice (3 months of age; 6–7 mice per group) were either fed with food pellets containing a BACE1 inhibitor NB-360 (BI) or control pellets (Ctrl) for one week. (A) In APPPS1 mice, CSF A $\beta_{42}$  was reduced by 76% after NB-360 application (t-test  $t_6=4.134,**P<.01$ ). (B) Treatment of APPPS1 mice with a NB-360 for one week did not reveal significant changes in CSF tau levels (t-test  $t_6=0.051, P>.05$ ). (C) In APP23 mice, CSF A $\beta_{42}$  was also significantly reduced after NB-360 treatment (t-test  $t_{11}=7.300, ***P<.001$ ). (D) Again no significant difference could be detected after BACE1 inhibition for 1 week (t-test  $t_{11}=0.497, P>.05$ ). All data are represented as group means  $\pm$  standard errors of mean

#### 4. Discussion

Tau is, besides  $A\beta$ , the most thoroughly investigated and validated CSF biomarker for AD [16–20] and hence has already been implemented as a monitoring marker for clinical trials [1]. Our previous observation of CSF biomarker changes in aging APP tg mice suggests that tangle formation and global neuron loss are not required to induce a substantial tau rise in the CSF [2]. Instead, presumed neuronal injury below the threshold of histological detection caused by progressing cerebral  $A\beta$  deposition was suggested to trigger an increased release of tau from nerve cells, which ultimately leads to elevated CSF tau levels. However, proving such a mechanistic link between  $A\beta$  deposition and CSF tau changes requires a direct interference with  $A\beta$  aggregation and deposition.

To this end, we now treated two different APP tg mouse models with a potent BACEi. Indeed, reducing  $A\beta$  production over 6 months not only attenuated  $A\beta$  deposition but also affected CSF tau levels. In both mouse models, long-term BACE1 inhibition kept CSF tau at baseline levels,

whereas control mice revealed the expected age-related increase. Thus, CSF tau levels mirrored the histological plaque load and total brain  $A\beta$  levels after BACEi treatment.

In further support of a mechanistic link between CSF tau levels and brain A $\beta$  deposition is the observation that BACE1 inhibition kept CSF tau at baseline but did not reduce further to levels of wild type mice. This observation indicates that the preexisting A $\beta$  plaques, which were obviously not cleared by long-term BACEi treatment, were responsible for the elevated CSF tau levels. This is consistent with the observation that short-term BACE1 inhibition and thus soluble A $\beta$  had no effect on CSF tau levels (Fig. 4). Although NB-360 is known to inhibit both BACE1 and also BACE2 [6] with several substrates known for these secretases [21], it seems unlikely that a substrate other than APP would be responsible for the CSF tau changes given that short-term BACEi treatment had no effect on CSF tau levels.

While our results demonstrate that CSF tau levels reflect brain A $\beta$  deposits, they also suggest that CSF A $\beta_{42}$  may be a misleading readout for brain Aβ deposition in the context of BACE1 inhibition because CSF AB levels are affected by two opposite mechanisms. On the one hand, BACE1 inhibition directly reduces the generation of  $A\beta$  and thus lowers CSF Aß levels, which was also evident in the short-term experiments in both mouse models (Fig. 4). On the other hand, long-term BACE1 inhibition attenuates Aβ deposition that in turn leads to reduced  $A\beta$  sequestration to plaques and hence maintains CSF  $A\beta_{42}$  at higher levels. These antagonistic mechanisms may also explain why in APP23, but not in APPPS1 mice, CSF  $A\beta_{42}$  concentrations were considerably lower after BACE1 treatment compared with mice that were fed with the control food pellets (Figs. 2D and 3D). Differences in CSF Aß between APP23 and APPPS1 mice after BACE1 inhibition may also be related to differences in the Aβ species generated in the two models, which may affect the overall turnover of brain Aβ, its aggregation/ sequestration to plaques, and the type of amyloid deposited. Overall, these observations and results highlight that CSF  $A\beta_{42}$  may be a misleading readout in the context of BACE1 inhibition as it is confounded by the stage (and type) of amyloid deposition at the start of treatment. While there are no data published regarding CSF tau changes in clinical trials on BACE1 inhibition, it has been reported that immunotherapy directed against Aß decreased CSF tau levels in AD patients [22,23]. However, as no significant difference in CSF AB42 was found, the interpretation of decreased tau CSF tau levels remained unclear at the time.

In conclusion, the present study demonstrates a mechanistic link between brain A $\beta$  deposition and CSF tau, and thus, CSF tau may present an important readout of A $\beta$  deposition in mouse models and likely in AD. Furthermore, the effect of BACE1 inhibition is not restricted to A $\beta$  and amyloid plaque deposition but can positively influence other downstream pathologic events underscoring the high potential of this target for the treatment of AD.

#### Acknowledgments

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Authors' contribution: J.S., L.H., J.C.G., and S.A.K. performed the experimental work. J.S. and S.A.K carried out the statistical analysis. T.O.J., H.V., E.S., U.N., D.R.S., E.-M.M., and M.S. provided crucial research reagents. J.S., M.S., M.J., and S.A.K. designed the study and with the help of all other authors prepared the manuscript.

Conflict of interest: U.N., D.R.S., and M.S. are or were employees and shareholders of Novartis Pharma AG, Basel Switzerland. H.V. and E.S. are employees of ADx NeuroSciences. The remaining authors declare no competing interests.

#### Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.jalz.2016.09.005.

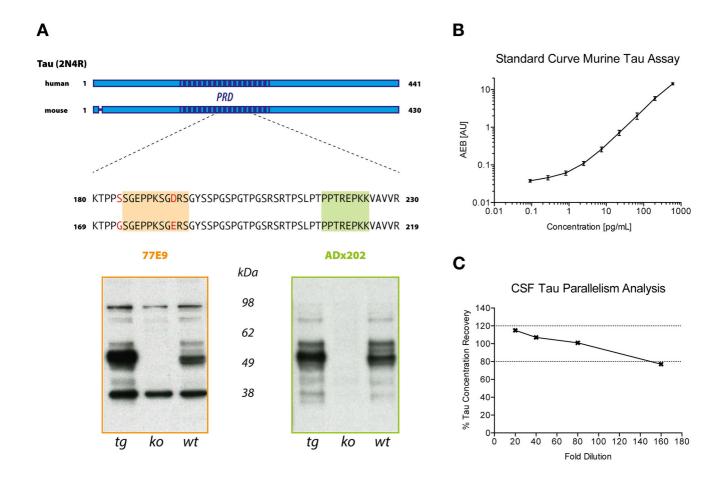
#### RESEARCH IN CONTEXT

- 1. Systematic review: The authors reviewed the literature using PubMed. While there have been several publications describing CSF tau changes in APP transgenic mice and also direct effects of BACE1 inhibitors such as the reduction of A $\beta$  levels in CSF and brain, there are no data published yet regarding downstream effects of BACE1 inhibition and a causal relationship between CSF tau and  $\beta$ -amyloidosis. The relevant citations are appropriately cited.
- 2. Interpretation: Our findings led to a validated hypothesis describing CSF tau changes on BACE1 inhibition. This hypothesis renders CSF tau a valuable marker to predict the effectiveness of BACE1 inhibitors in current clinical trials.
- 3. Future directions: The manuscript proposes a framework for the generation of new hypotheses and the conduct of additional studies. Examples include further understanding (a) the mechanistic link between CSF tau levels and brain Aβ deposition and (b) potential other downstream pathological events caused by BACE1 inhibition.

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Supplemental Fig. 1. Tau assay development and validation. (A) From a set of different antitau antibodies, the most sensitive pair has been chosen for the full development of an assay on the Simoa platform. Both antibodies ADx202 (green) for capturing and 77E9 (orange) for detection bind to the proline-rich domain of tau, recognizing the epitope 218–225 and 185–195 (human sequence), respectively. The human (top) and the mouse (bottom) sequence are shown and differences indicated in red. Western blot analyses (12% Bis-Tris PAGE) using 10% (wt/vol) brain homogenates from a TauP301S (tg), Tau null (ko), and C57BL6/J wild-type (WT) mouse revealed some unspecific bands (at ~98 and ~38 kDa) with 77E9 but not with ADx202 (lower panel). (B) Average calibration curve based on four individual runs using recombinant murine tau on the Simoa technology. Shown are the mean signals ± SD, indicated as average enzymes per bead (AEBs), within a concentration range from 0.091 to 600 pg/mL. (C) Linear dilution analyses of pooled CSF from C57BL/6J wild-type mice showed 115%, 107%, 101%, and 77% recovery of endogenous tau when diluted 20, 40, 80, and 160 times, respectively (the average of all recalculated concentrations was considered as the 100% reference value). Note: even a 160-fold dilution yielded a recovery of 77% (CV = 23.7%) although tau concentration of 0,66 pg/mL was slightly below the lower limit of quantification (0.69 pg/mL).

### 3.3 Persistence of $A\beta$ seeds in APP null mouse brain

Lan Ye\*, Sarah K. Fritschi\*, **Juliane Schelle**, Ulrike Obermüller, Karoline Degenhardt, Stephan A. Kaeser, Yvonne S. Eisele, Lary C. Walker, Frank Baumann, Matthias Staufenbiel, and Mathias Jucker \*contributed equally

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# Persistence of $A\beta$ seeds in APP null mouse brain

Lan Ye<sup>1-3,5</sup>, Sarah K Fritschi<sup>1,2,5</sup>, Juliane Schelle<sup>1-3</sup>, Ulrike Obermüller<sup>1,2</sup>, Karoline Degenhardt<sup>1-3</sup>, Stephan A Kaeser<sup>1,2</sup>, Yvonne S Eisele<sup>1,2</sup>, Lary C Walker<sup>4</sup>, Frank Baumann<sup>1,2</sup>, Matthias Staufenbiel<sup>1,2</sup> & Mathias Jucker<sup>1,2</sup>

Cerebral  $\beta$ -amyloidosis is induced by inoculation of  $A\beta$  seeds into APP transgenic mice, but not into  $App^{-/-}$  (APP null) mice. We found that brain extracts from APP null mice that had been inoculated with  $A\beta$  seeds up to 6 months previously still induced  $\beta$ -amyloidosis in APP transgenic hosts following secondary transmission. Thus,  $A\beta$  seeds can persist in the brain for months, and they regain propagative and pathogenic activity in the presence of host  $A\beta$ .

In cerebral  $\beta$ -amyloidoses such as Alzheimer's disease (AD), misfolded A $\beta$  proteins can form proteopathic seeds that structurally corrupt similar proteins, which in turn further perpetuate the seeding cascade¹. A $\beta$  seeds are thus self-propagating agents that are responsible for the initiation, progression and spreading of amyloidosis in the brain. Indeed, when young A $\beta$ -precursor protein (APP) transgenic mice are inoculated with A $\beta$ -rich brain extracts from AD patients or aged APP transgenic mice, cerebral  $\beta$ -amyloidosis is induced 4–8 months post-inoculation²,³. The seeding agent in the brain extracts has been identified as A $\beta$  aggregates ranging in size from small, soluble proteinase K (PK)-sensitive A $\beta$  assemblies to larger PK-resistant aggregates⁴. A $\beta$  seeds are resistant to *ex vivo* inactivation by such treatments as boiling, drying and exposure to formaldehyde²,⁵,6, and thus share key characteristics with infectious prions<sup>7–9</sup>.

To shed light on the *in vivo* stability, durability and propagation of A $\beta$  seeds, we inoculated APP null ( $App^{-/-}$ ), wild-type (WT) and APP23 transgenic mice with brain extracts of defined A $\beta$  seeding activity (Online Methods). At 1, 7 and 30 d post unilateral inoculation (dpi) into the hippocampus, the injected and contralateral hippocampi were dissected and assessed for the presence of exogenous human A $\beta$  by immunoblotting and immunoassay (**Fig. 1a,b**). At 1 dpi, the injected human A $\beta$  was present in the injected hippocampi of all three murine strains at similar levels. Human A $\beta$  decreased at 7 dpi and was below the level of detection at 30 dpi in  $App^{-/-}$  and WT mice with apparently similar kinetics. In contrast, human A $\beta$  in APP23 mice increased steadily over the 30-d incubation period (**Fig. 1a,b**), indicative of a nascent seeding response.

To assess the seeding activity of hippocampal extracts from  $App^{-/-}$  and APP23 mice that had been previously inoculated with A $\beta$  seeds,

we carried out second passage inoculations into young APP23 host mice (**Fig. 1c-e**). After a 4-month incubation period, the 1 dpi hippocampal extracts from both  $App^{-/-}$  and APP23 donor mice had induced amyloid deposition in APP23 host mice that was similar to the induction by 30-dpi hippocampal extracts from the APP23 mice (**Fig. 1c,d**). Notably, amyloid induction was still observed for the  $App^{-/-}$  30-dpi hippocampal extracts, even though it was minimal after 4 months (**Fig. 1c,d**).

We previously found that the seeding of A $\beta$  lesions is time dependent<sup>2,4</sup>. Sensitivity as measured in seeding units increased tenfold when the incubation period was prolonged from 4–6 months, and again tenfold following prolongation from 6–7 months (F.B., M.S. and M.J., unpublished observations). Indeed, when the incubation time of the second passage inoculation was prolonged to 8 months, substantial amyloid induction also was observed for the  $App^{-/-}$  30-dpi hippocampal extracts, suggesting the persistence of some A $\beta$  seeds for 30 d in the absence of host-derived A $\beta$  (Fig. 1c,e).

Our findings were unexpected given that brain extracts from prioninoculated Prnp null mice no longer reveal infectivity 2 weeks postinoculation using observation periods of up to 16 months following secondary transmission<sup>10–12</sup>. We therefore repeated the experiment and included extended primary incubation periods in the *App*<sup>-/-</sup> donor mice of 60 and 180 d, as well as additional control inoculations of WT brain extracts (Fig. 2). Consistent with the first experiment, human Aβ levels were below detection in the extract-injected hippocampi of App<sup>-/-</sup> mice 30, 60 and 180 dpi using immunoblotting and electrochemiluminescence-linked (ECL) immunoassay. However, using ultra-sensitive bead-based single-molecule array Simoa technology, we were able to detect residual human Aβ even at 180 dpi (Supplementary Fig. 1). Second passage inoculation with an 8-month incubation time again revealed robust induction of β-amyloidosis in APP23 hosts by the App<sup>-/-</sup> 30-dpi hippocampal extracts, whereas no induction was observed with the WT extracts (Fig. 2a,b). Amyloid induction was also observed with the  $App^{-/-}$  60-dpi hippocampal extract, albeit somewhat less than the  $App^{-/-}$  30-dpi extracts. Notably, even 180 d after the introduction of  $A\beta$  seeds, some amyloid induction was instigated by hippocampal extracts from  $App^{-/-}$  donor mice (Fig. 2a-c).

In all cases, the induced lesions were partly Congo red positive. All Congo red–positive amyloid (independent of whether the primary incubation occurred in  $App^{-/-}$  or APP23 hosts) was surrounded by activated microglia and dystrophic neuritic structures (**Supplementary Fig. 2**), as previously reported in the brains of AD patients and APP transgenic mice<sup>13–16</sup>. Notably, cerebral amyloid angiopathy (CAA) was always induced together with parenchymal amyloid (**Supplementary Fig. 3**) and appeared in non-injected brain regions, most notably in the thalamus, similar to the pattern previously observed after primary inoculations<sup>2</sup>. The induced CAA was often associated with microbleeds (**Supplementary Fig. 3**), supporting the

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<sup>&</sup>lt;sup>1</sup>Department of Cellular Neurology, Hertie Institute for Clinical Brain Research, University of Tübingen, Tübingen, Germany. <sup>2</sup>German Center for Neurodegenerative Diseases (DZNE), Tübingen, Tübingen, Germany. <sup>3</sup>Graduate School of Cellular and Molecular Neuroscience, University of Tübingen, Tübingen, Germany. <sup>4</sup>Yerkes National Primate Research Center and Department of Neurology, Emory University, Atlanta, Georgia, USA. <sup>5</sup>These authors contributed equally to this work. Correspondence should be addressed to M.J. (mathias.jucker@uni-tuebingen.de).

#### **BRIEF COMMUNICATIONS**

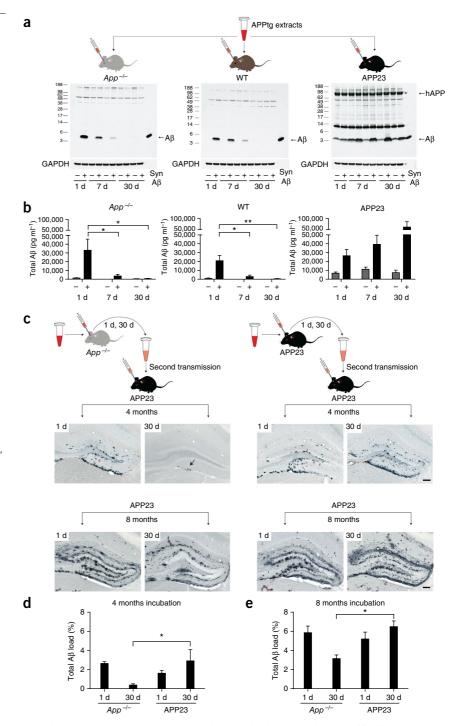
conclusion that the exogenous A $\beta$  seeds retained their pathogenicity in  $App^{-/-}$  donor mice, even in the absence of replication.

Our results demonstrate a marked longevity of  $A\beta$  seeding activity in the living brain despite generally efficacious  $A\beta$  clearance mechanisms. The persistence of  $A\beta$  seeding activity for at least 180 d in the brain in the absence of host-derived  $A\beta$  (and thus replication) substantially exceeds the reported longevity of bioactive prions, at least with regard

to prion infectivity in mice $^{10-12,17}$ . In conjunction with previous studies demonstrating the resilience of A $\beta$  seeds $^{2-6}$ , this observation raises the possibility that a similar phenomenon transpires in AD and other human disorders. AD *per se* has not been shown to be infectious under normal circumstances. However, cerebral A $\beta$  deposition is inducible by injection of exogenous A $\beta$  seeds in a prion-like manner in transgenic mice and nonhuman primates $^{1,9}$ . In this regard, it may be prudent

Figure 1  $A\beta$  seed persistence in various murine hosts. Aβ seed-containing APP23 brain extract (APPtg extract) was injected unilaterally into the hippocampus of 3-month-old male and female App-/-, WT and APP23 mice. Injected and contralateral control hippocampi were biochemically analyzed 1, 7 and 30 dpi. (a) Immunoblots with an antibody specific to human Aβ. Although the injected Aß could be detected 1 dpi in App-/-WT and APP23 mice, the injected AB was no longer detectable at 30 dpi in App-/- or WT mice (left and middle). The injected hippocampus is marked with + and the uninjected side with -In contrast, human Aβ was still robustly increased compared with the uninjected side in APP23 mice at 30 dpi (right). Shown is one representative mouse for 1 dpi and two mice for 7 and 30 dpi, respectively. Bottom, GAPDH as a loading control. Synthetic (syn)  $A\beta$  (0.23 ng) was loaded as a positive control. (b) Human  $A\beta$  concentration (pg ml<sup>-1</sup>) in the extracted hippocampus using ECL-linked immunoassay. Although human  $A\beta$  in the inoculated hippocampus of App-/- and WT mice was significantly decreased to background (-) at 30 dpi (left and middle), APP23 mice did not show such a reduction (right) (n = 6, 4-5 and 7-8 mice per time point)for App-/-, WT and APP23 mice, respectively; males and females were equally distributed in each group). One-way ANOVA for the injected hippocampi revealed F(2,15) = 5.603,  $P = 0.015 (App^{-/-}); F(2,10) = 8.484,$ P = 0.007 (WT); F(2,20) = 1.162, P = 0.333 (APP23). Bonferroni post hoc test for pairwise comparisons, \*P < 0.05, \*\*P < 0.01. (c) Secondary transmission of injected hippocampal extracts (hippocampi were pooled) from  $App^{-/-}$  and APP23 mice (1 and 30 dpi) into the hippocampus of young (3 month old) pre-depositing male APP23 mice. Brains were analyzed for Aß deposition 4 and 8 months later. Shown are combined Aβ-immunostained and Congo red-stained hippocampi. Hippocampal 1-dpi extracts from both  $App^{-/-}$  (left) and APP23 mice (right) induced Aß deposition after the 4-month incubation period, and considerably more deposition after 8 months. Consistent with the immunoassays. the 30-dpi APP23 extracts also induced robust seeding activity. Notably, hippocampal extracts from the 30-dpi App-/- mice also

displayed seeding activity (barely detectable

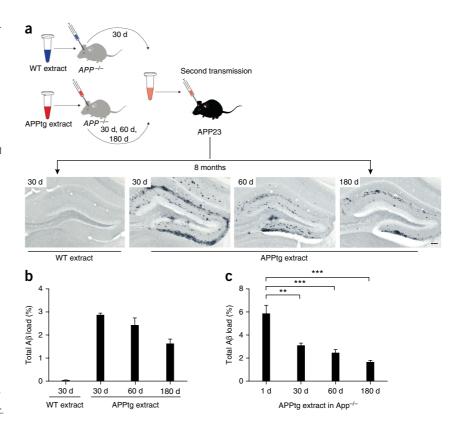


after the 4-month incubation period but robustly present after 8 months). Scale bars represent  $100 \, \mu m$ . (d,e) Stereological quantification of hippocampal A $\beta$  immunostaining (A $\beta$  load). Indicated is the mean  $\pm$  s.e.m. Kruskal-Wallis test for the 4-month incubation: P=0.026, n=3 mice per group; for the 8-month incubation: P=0.032, n=4-5 mice per group; Mann-Whitney test with Bonferroni correction, \* $P \le 0.05$ .

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Figure 2 A $\beta$  seed persistence in  $App^{-/-}$  mice for up to 6 months. (a) AB seed-containing APP23 brain extract (APPtg extract) or WT mouse brain extract was injected bilaterally into the hippocampus of 3-month-old male App-/- mice. The injected hippocampi were isolated 30, 60 or 180 dpi (n = 4-5 mice per group), pooled and used for secondary transmission into the hippocampus of young, 3-month-old APP23 mice. Brains were analyzed for  $\ensuremath{\mathsf{A}\beta}$  deposition 8 months later. Shown is the  $A\beta$ -immunostained and Congo red-stained hippocampus. Consistent with the first experiment (Fig. 1), 30-dpi  $\textit{App}^{-\!/\!-}$  hippocampal extracts induced robust Aβ deposition, whereas no amyloid deposition was observed with the WT extract. To our surprise, the 60-dpi  $App^{-/-}$  extract and even the 180-pi App-/- extract induced some amyloid deposition. Scale bar represents  $100 \mu m.$  (b) Stereological quantification of hippocampal Aβ immunostaining (Aβ load). Indicated is the mean ± s.e.m. WT and APPtg extract 30 dpi, n = 2 mice each (but see Fig. 1); APPtg extract 60 and 180 dpi, n = 4and n = 3 mice per group, respectively. (c) Diminished induction of  $A\beta$  deposition by App-/- hippocampal extracts with increasing dpi (animals from this experiment are combined in this graph with the 1- and 30-dpi animals from Fig. 1e). One-way ANOVA revealed F(3,14) =15.210, P = 0.0001; Bonferroni post hoc test for pairwise comparisons, \*\*P< 0.01, \*\*\*P< 0.001.



to consider more stringent measures to mitigate the transmission of AB seeds by such means as contaminated surgical instruments or biologics<sup>1</sup>. To this end, the murine A $\beta$ -seeding model is an exquisitely sensitive bioassay for the presence and functionality of  $A\beta$  seeds.

The extreme longevity of AB seeds in vivo also provides new insights into the long, clinically silent period that precedes the onset of dementia in AD<sup>18,19</sup>. Current estimates, based on the assessment of  $A\beta$  by positron emission tomography imaging and cerebrospinal fluid measurements, indicate that preclinical AD begins approximately 20 years before the first clear signs of incipient dementia<sup>18,19</sup> and is characterized by the progressive appearance of cerebral AB deposits in neocortical areas with subsequent spreading to other parts of the brain<sup>20</sup>. The discovery that  $A\beta$  seeds can exist in the brain at levels below routine detection indicates that the initial changes that drive AD pathogenesis may occur even earlier. From a therapeutic standpoint, these findings indicate that the most effective treatments for AD should target the  $A\beta$  cascade well before the characteristic deposits of  $A\beta$  become detectable by currently available methods. However, our findings in WT mice and App<sup>-/-</sup> mice also indicate that impeding the production of  $A\beta$  will inhibit the generation of new seeds and thereby delay or prevent the onset of dementia.

#### **METHODS**

Methods and any associated references are available in the online version of the paper.

Note: Any Supplementary Information and Source Data files are available in the online version of the paper.

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#### AUTHOR CONTRIBUTIONS

L.Y., S.K.F., J.S. and U.O. performed the experimental work. L.Y., S.K.F., J.S., U.O., K.D., S.A.K., F.B. and M.J. carried out the analysis. Experimental design and preparation of the manuscript was done by L.Y., S.K.F., Y.S.E., L.C.W., M.S. and M.J.

#### COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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#### **ONLINE METHODS**

**Mice.** For all inoculations 3–4-month-old C57BL/6J-Tg(Thy1-APP $_{K670N;M671L}$ )23 mice (APP23 mice), C57BL/6J (WT), and C57BL/6J-App ( $App^{-/-}$ )<sup>21,22</sup> mice were used. All mice were kept under specific pathogen-free conditions. The experimental procedures were carried out in accordance with the veterinary office regulations of Baden-Württemberg (Germany) and approved by the local Animal Care and Use Committees (Regierungspräsidium Tübingen).

Preparation of brain tissue extracts for intracerebral inoculation. We used extraction protocols as previously described<sup>2,3</sup>. Tissue for extract preparation was derived from aged (24.5-26.0 month old) amyloid-depositing male APP23 transgenic mice containing approximately 400,000  ${\rm ID}_{50}$  units per ml of seeding agent as estimated by serial dilutions (Ye, L., Kaeser, S.A., Marzeso, A., Obermüller, U., Odenthal, J., Fritschi, S.K., Staufenbiel, M., Baumann, F. & Jucker, M., unpublished observations), and from age-matched non-transgenic WT mice. After removal of the cerebellum and lower brainstem, forebrain samples were immediately fresh-frozen on dry ice and stored at -80 °C until use. Tissue was then homogenized (Ultra Turrax T8, IKA-Werke) at 10% (wt/vol) in sterile phosphatebuffered saline (PBS, Lonza), vortexed, sonicated 3 × 5 s (LabSonic, B. Braun Biotech International GmbH,  $0.5~\mathrm{mm}$  diameter sonotrode, cycle 1, amplitude 80%) and centrifuged at 3000g for 5 min to remove tissue debris. The supernatant was aliquoted and stored at -80 °C until use. Different extracts were used for the experiments described in Figures 1 and 2. The amount of A $\beta$  in the APP23 extracts was ~10 ng  $\mu$ l<sup>-1</sup>, similar to previous publications<sup>2,3</sup>.

Intrahippocampal inoculation. Stereotactic injections were made under a mixture of ketamine/xylazine in PBS (ketamine 118 mg per kg of body weight, xylazine 8 mg per kg). Inoculates were stereotactically placed with a Hamilton syringe into the hippocampus (unilateral: AP -2.5 mm, L -2.0 mm, DV -1.8 mm, bilateral: AP -2.5 mm, L  $\pm 2.0$  mm, DV -1.8 mm,).  $2.5~\mu l$  were injected at the speed of  $1.25~\mu l$  min $^{-1}$  and the needle was kept at the injection site for an additional 2 min before withdrawal. The surgical area was cleaned with sterile PBS and the incision was sutured. Postoperatively, the mice were maintained under infrared light and monitored until they had recovered from the anesthesia.

**Preparation of hippocampal extracts from primary inoculation.** Hippocampi from inoculated  $App^{-/-}$ , WT and APP23 mice were dissected 1, 7, 30, 60 and 180 dpi. Each hippocampus was immediately fresh-frozen on dry ice and stored at  $-80\,^{\circ}\mathrm{C}$  until use. Tissue was then homogenized at 10% (wt/vol) in PBS using a 1 ml BD Plastikpak syringe (Becton Dickson). Homogenate was then vortexed, sonicated  $3\times5$  s and centrifuged at 3000g for 5 min. The supernatant was aliquoted and immediately frozen at  $-80\,^{\circ}\mathrm{C}$  until use. Hippocampal extracts from the primary inoculation in each group were pooled for secondary inoculation.

Quantification of  $A\beta$  by immunoassays. Hippocampal extracts were pretreated with formic acid (Sigma-Aldrich, final concentration: 70%, vol/vol), sonicated for 30 s on ice and centrifuged at 25,000g for 1 h at 4 °C. Supernatants were equilibrated in neutralization buffer (1 M Tris base, 0.5 M Na<sub>2</sub>HPO<sub>4</sub>, 0.05% NaN<sub>3</sub> (wt/vol)). Human AB was measured either by an electrochemiluminescence (ECL)-linked immunoassay (Meso Scale Discovery, MSD), as previously described  $^{4,23}$ , or by an ultra-sensitive bead-based single molecule array (Simoa, Quanterix). All samples and calibrators were run in duplicates. For the MSD platform commercial Human (6E10) Abeta Triplex Assay was used according to the manufacturer's instructions. In brief, 96-well plates pre-spotted with C-terminal capture antibodies against A $\beta$ x-38, A $\beta$ x-40 and A $\beta$ x-42 were blocked for 1 h with 1% bovine serum albumin (BSA in Tris buffer, wt/vol) and washed three times with Tris buffer. Formic acid-treated samples were co-incubated with the SULFO-TAG 6E10 detection antibody solution on the plate for 2 h. After washing, MSD Read Buffer T was added and the plate was measured immediately on the Sector Imager 6000. Data analysis used MSD DISCOVERY WORKBENCH software 2.0. Internal QC samples were used for quality control of the assay performance and inter-plate variability. Total A $\beta$  was the sum of A $\beta$ x-38, A $\beta$ x-40 and A $\beta$ x-42.

Samples with very low  $A\beta$  levels were also measured using the commercial Simoa Human AB40 and Human AB42 kits from Quanterix. Depending on the expected AB concentration formic acid treated samples were diluted (at least 1:2) in sample diluent before being subjected to the fully automated analysis. A $\beta$  was captured by antibody-coated beads and co-incubated with biotinylated detector antibodies against A $\beta$ 40 (or A $\beta$ 42) to form a complex. After a wash step, a streptavidin- $\beta$  -galactosidase (SBG) conjugate was added and after further washing, resuspension in a substrate solution led to a fluorescence signal in the presence of SBG. Following transfer to the array disc, beads were individually sealed in microwells and quantified by the optical system of the Simoa HD-1 Analyzer. Each labeled Aβ molecule yielded a measurable signal. For the calibration, the software uses a Cubic (1/Y2 with zero point custom weight of 0.1) or a 4 Parameter Logistic (1/Y<sup>2</sup> weighted) curve fit data reduction method for the human A  $\beta 40$  and A  $\beta 42$  assays, respectively. For internal quality control, 3 in-house QC samples (at high, intermediate, and low AB concentration) were measured in each individual run. Total A $\beta$  was the sum of A $\beta$ 40 and Aβ42.

Immunohistochemistry and histology. Mice were deeply anesthetized with ketamine (300 mg per kg)/xylazine (20 mg per kg), transcardially perfused with ice-cold PBS (8 min) and decapitated. Brains were removed, immersion-fixed for 48 h in 4% paraformaldehyde in PBS (wt/vol), cryoprotected in 30% sucrose (wt/vol) in PBS for an additional 48 h, and then frozen in 2-methylbutane. Fixed-frozen brains were cut into 25  $\mu$ m-thick coronal sections and collected in cryoprotectant buffer (35% ethylene glycol, 25% glycerol in PBS, vol/vol). Sections were stored at  $-20\,^{\circ}$ C until use. Immunohistochemistry was performed according to standard protocols with the Vectastain Elite ABC Kit (Vector Laboratories). As primary antibody, polyclonal rabbit Aβ-antibody NT12 and polyclonal rabbit anti-ionized calcium binding adaptor molecule 1 (Iba1, Wako Chemicals) were used as previously described². In addition rabbit polyclonal antibody 5313 to the ectodomain of APP (generous gift of C. Haass, Munich) was used. Staining with Congo red for amyloid or Perl's Prussian blue for ferric iron was conducted according to standard protocols.

**Quantification of total Aβ load.** Aβ load was quantified on Aβ-immunostained sets of every 12th systematically sampled, serial, coronal section throughout the entire hippocampus. Researchers who performed the analysis were blinded to the inoculation groups. Stereological analysis was performed using a microscope equipped with a motorized *x-y-z* stage coupled to a video-microscopy system and the Stereo Investigator software (MicroBrightField) as previously described². Total Aβ load was determined by calculating the % areal fraction occupied by Aβ-positive staining in two-dimensional sectors at a single focal plane (20×, 0.45 NA objective).

SDS-PAGE and immunoblot analysis. Hippocampal extracts were analyzed on NuPage Bis-Tris mini gels using NuPage LDS sample buffer and MES running buffer (Invitrogen). Proteins were transferred onto a nitrocellulose membrane, probed with monoclonal antibody 6E10 specific to human A $\beta$  (Covance) and visualized using Amersham ECL Plus (GE Healthcare).

**Statistics.** Data in figures are expressed as mean  $\pm$  s.e.m. Statistical analysis was performed using the GraphPad Prism software, version 6.0. The Kolmogorov-Smirnov test was used to assess the normality of the data distribution. Depending on the outcome of the Kolmogorov-Smirnov test, one-way ANOVA followed by the Bonferroni *post hoc* test or the Kruskal-Wallis test followed by the Mann-Whitney test with Bonferroni correction were used.

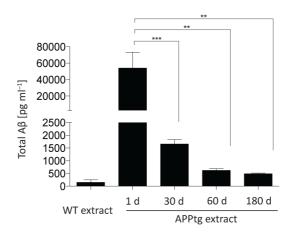
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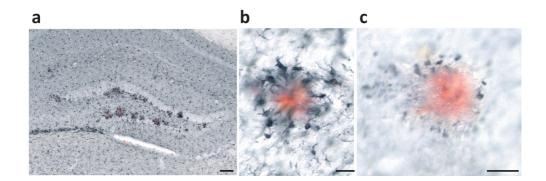
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#### Supplementary Figure 1

Ultra-sensitive bead-based Simoa technology detects residual human Aβ in hippocampal extracts of inoculated *App*<sup>-/-</sup> mice.

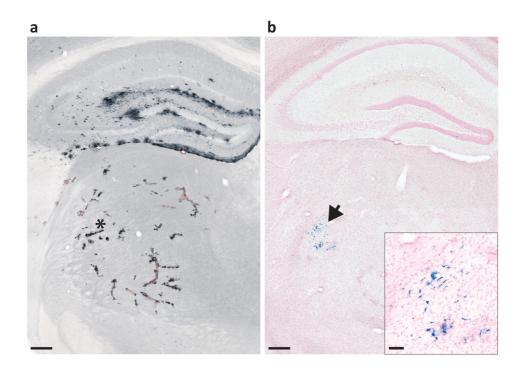
Aβ seed-containing APP23 brain extract or seed-negative WT mouse brain extract was injected into the hippocampus of  $App^{-/-}$  mice. The injected hippocampi were isolated 1, 30, 60, or 180 dpi (see Figures 1 and 2). While ECL-linked immunoassay no longer detected any Aβ at and beyond 30 dpi (Figure 1), the bead-based Simoa technology detected human Aβ up to 180 dpi. Note that the majority of Aβ was cleared within the first 30d with much slower clearance of residual Aβ thereafter. (The same samples were analysed as in Figures 1 and 2, thus n=6, 10, 5, and 5 for 1d, 30d, 60d, and 180d, respectively). One-way ANOVA for the APP transgenic extracts revealed F(3,22)=8.932, P=0.0007. Bonferroni post hoc test for pairwise comparisons, \*\*P<0.01, \*\*\*P<0.001. WT-injected mice (n=4; 30 or 60 dpi).



#### Supplementary Figure 2

Induced amyloid lesions are partly congophilic and surrounded by activated microglia and dystrophic boutons.

(a) Congo red-positive amyloid deposits induced in the dentate gyrus were surrounded by Iba1-positive microglia (black). (b) Congo red-positive plaque with surrounding hypertrophic microglial cell bodies and processes at higher magnification. (c) APP-immunoreactive dystrophic processes and boutons (black) in close proximity to a congophilic amyloid plaque. Images are from a 1 or 30 dpi  $App^{-/-}$  hippocampal extract-inoculated APP23 mouse. Scale bar: 100µm (a), 20 µm (b,c).



#### **Supplementary Figure 3**

Cerebral amyloid angiopathy (CAA) was always induced in addition to parenchymal amyloid.

(a) Shown are the A $\beta$ -immunostained and Congo red-stained hippocampus and thalamus of an APP23 mouse that had been inoculated with a 30 dpi  $App^{-/-}$  hippocampal extract 8 months earlier. Note the prominent CAA in the thalamus (asterisk). Scale bar: 200  $\mu$ m. (b) Perl's Prussian blue staining of an adjacent section reveals multiple microhemorrhages in the CAA-laden thalamic region (arrow). Insert shows the microhemorrhages at higher magnification. Scale bars: 200  $\mu$ m and (inset) 50  $\mu$ m.

3.4 Prevention of cerebral	β-amyloidosis by	targeting initial Aβ seeds
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**Juliane Schelle**, Sarah K. Fritschi, Ulrike Obermüller, Lisa M. Häsler, Marius Lambert, Stephan A. Kaeser, Ulf Neumann, Derya R. Shimshek, Matthias Staufenbiel, Mathias Jucker

Manuscript in preparation

Prevention of cerebral β-amyloidosis by targeting initial Aβ seeds

Juliane Schelle<sup>1,2,3</sup>, Sarah K. Fritschi<sup>1,2,3</sup>, Ulrike Obermüller<sup>1,2</sup>, Lisa M. Häsler<sup>1,2,4</sup>, Marius

Lambert<sup>1,2</sup>, Stephan A. Kaeser<sup>1,2</sup>, Ulf Neumann<sup>5</sup>, Derya R. Shimshek<sup>5</sup>, Matthias Staufenbiel<sup>1</sup>,

Mathias Jucker<sup>1,2</sup>

<sup>1</sup>Department of Cellular Neurology, Hertie Institute for Clinical Brain Research, University

of Tübingen, 72076 Tübingen, Germany; <sup>2</sup>DZNE, German Center for Neurodegenerative

Diseases, 72076 Tübingen, Germany; <sup>3</sup>Graduate School of Cellular and Molecular

Neuroscience, University of Tübingen, 72074 Tübingen, Germany; <sup>4</sup>Natural and Medical

Sciences Institute at the University of Tübingen, 72770 Reutlingen, Germany; <sup>5</sup>Novartis

Institutes for BioMedical Research, Neuroscience, 4056 Basel, Switzerland

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Correspondence: Mathias Jucker, mathias.jucker@uni-tuebingen.de.

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75

#### **ABSTRACT**

The insight that Alzheimer's disease (AD) pathogenesis precedes dementia symptoms by 10-20 years suggests that therapeutic compounds are most effective when applied at a preclinical disease stage before neurodegeneration has become apparent. As the aggregation of the  $\beta$ -amyloid (A $\beta$ ) peptide is assumed to initiate the pathological process of the disease, therapeutical approaches for AD have been focused on anti-AB strategies. Amyloid deposition as an early event in AD pathogenesis together with the nucleated-dependent AB aggregation seen in vitro suggest a slow pre-amyloid lag phase in which Aβ seeds are presumably formed. Therefore a preventive therapy based on the interference with Aß seed formation has the potential to show clinical efficacy in AD. Hence, in this study we treated two different APP transgenic mouse models at the beginning of plaque deposition with an AB specific antibody targeting Aβ seeds and a potent BACE inhibitor to lower Aβ production. We demonstrate that the short but early combination approach not only reduced brain AB levels acutely but also significantly decreased amyloid deposition even after discontinuation of the treatment for several months. Thus, targeting initial  $A\beta$  seeds at an early pre-amyloid stage by anti-Aß immunization and BACE inhibition might be an effective and promising paradigm in order to delay or even prevent the development of cerebral β-amyloidosis and eventually AD.

#### INTRODUCTION

Alzheimer's disease (AD) is the most common neurodegenerative disease worldwide and the leading cause of late-life dementia. However, so far no treatments are available to significantly slow down or stop AD. Recent studies have shown that AD pathogenesis starts 10-20 years before the onset of first clinical symptoms (Villemagne et al. 2013; Bateman et al. 2012; Buchhave et al. 2012; Jack et al. 2010). Hence, the failure of previous clinical trials for AD can be explained by the fact that they were initiated in symptomatic patients, in which massive amyloid deposition and neurodegeneration have already begun and progressed for many years inducing a clinical phenotype (Selkoe 2012; Golde et al. 2011). Eventually the efficacy and safety of therapeutic compounds can be increased when given at a pre-clinical

disease stage before regular brain function is affected and irreversible damage has occurred (Bateman et al. 2012; Golde et al. 2011).

According to the amyloid hypothesis and multiple genetic and biochemical evidences aggregation of the amyloid  $\beta$  (A $\beta$ ) peptide is suggested to be the first and initial lesion in AD brain (Selkoe & Hardy 2016; Holtzman et al. 2011; De Strooper 2010; Hardy & Selkoe 2002). Given that A $\beta$  accumulation is a key trigger of the disease, targeting A $\beta$  seems to be a very reasonable therapeutical approach (Golde et al. 2010).

From *in vitro* studies it is known that A $\beta$  can form amyloid fibrils by a highly concentration-dependent nucleated polymerization process. After a certain lag phase and a series of intermediate states an initial nucleus (A $\beta$  seed) is formed. This so called slow nucleation phase is subsequently followed by a phase of exponential increase of A $\beta$  aggregation and fibril formation due to addition of soluble A $\beta$  to A $\beta$  seeds by conformational conversion (Jucker & Walker 2013; Eisenberg & Jucker 2012; Chiti & Dobson 2006; Harper & Lansbury 1997). With increasing size the growing fibrils break and form new self-propagating fragments and A $\beta$  seeds (Jucker & Walker 2013). The lag time of amyloid formation *in vitro* can be shortened by the addition of small amounts of A $\beta$  seeds (Harper & Lansbury 1997).

These *in vitro* observations of  $A\beta$  amyloid formation have recently been translated *in vivo* by the demonstration that  $A\beta$  aggregation can be induced and accelerated in young, predepositing APP transgenic (tg) mice by intracerebral injections of brain extract containing  $A\beta$  seeds (Meyer-Luehmann et al. 2006; Kane et al. 2000). Similar to *in vitro* assays the *in vivo* induction of  $A\beta$  deposition is dependent on the concentration of the  $A\beta$  seeds as well as the availability of soluble  $A\beta$  to be incorporated into the growing  $A\beta$  aggregates (Jucker & Walker 2013; Hamaguchi et al. 2012; Jucker & Walker 2011; Meyer-Luehmann et al. 2006).  $A\beta$  specific antibodies applied at early stages of plaque formation are intended to bind and inactivate already existing microplaques and therefore promote clearance of  $A\beta$  seeds. As the  $\beta$ -site of APP cleaving enzyme (BACE) initiates the production of the  $A\beta$  peptide it seems to be another attractive therapeutic target (Golde et al. 2011; Citron 2010; De Strooper et al. 2010). Therefore an early intervention using a combinational treatment based on  $A\beta$  vaccination to remove pre-existing  $A\beta$  aggregates and a BACE inhibitor to block the processing of APP and thereby reduce the production of soluble  $A\beta$  may effectively delay or prevent cerebral  $\beta$ -amyloidosis.

In this study such a combined therapy based on an anti-A $\beta$  specific immunization strategy together with a BACE inhibitor was used during a defined pre-depositing stage targeting first endogenous A $\beta$  seeds in two different APP tg mouse models. This short and early intervention of initial A $\beta$  aggregation delayed the onset of A $\beta$  plaque pathology even after discontinuation of the treatment. Our findings suggest that targeting amyloid seeds at a pre-amyloid stage and thereby interfering with the initial seeding phase of amyloid formation by immunotherapy and BACE inhibition is an effective therapeutic strategy to prevent cerebral  $\beta$ -amyloidosis and eventually AD.

#### **METHODS**

#### APP23 mice

Male 6-month-old heterozygous APP23 mice (Sturchler-Pierrat et al. 1997) were all bred at the Hertie-Institute for Clinical Brain Research. APP23 mice express the K670M/N671L mutated human APP (Swedish double mutation) under control of the neuron-specific Thy1 promoter element at about 7-fold over endogenous (murine) APP. The mice were generated on a B6D2 background but have since been bred with C57BL/6J mice over more than 20 generations. In APP23 mice plaque formation starts at 6–8 months of age with a faster plaque development in females than in males (Eisele et al. 2010; Sturchler-Pierrat et al. 1997). Therefore only male animals were used for this study. While single male mice enjoyed housing enrichment, cages with several male mice were not provided with additional enrichment material due to possessive behavior. Mice were kept under specific pathogen-free conditions. All experimental procedures were conducted in accordance with veterinary office regulations of Baden-Württemberg (Germany) and approved by the local Animal Care and Use Committees.

#### APP51 mice

Male and female 12-month-old heterozygous APP51 mice (Bodendorf et al. 2002) were bred at the Hertie-Institute for Clinical Brain Research. APP51 mice express the human wild-type APP under control of the neuron-specific Thy1 promoter element at about 7-fold over endogenous (murine) APP and were bred on a C57BL/6J background. APP51 mice develop the first plaques between 13 and 15 months of age. Aβ plaque development is faster in females than in males. Therefore experiments were always conducted in mice of the same sex

(Figure 5 females, Figure 6 males). While female mice and single male mice enjoyed housing enrichment, cages with several male mice were not provided with additional enrichment material due to possessive behavior. Mice were kept under specific pathogen-free conditions. All experimental procedures were carried out in compliance with veterinary office regulations of Baden-Württemberg (Germany) and approved by the local Animal Care and Use Committees.

#### Stereotactic injection of brain extract

For intracerebral injections, 10% brain homogenates (without cerebellum) in sterile phosphate-buffered saline (PBS, Lonza) derived from  $\beta$ -amyloid-depositing APP23 mice (25-26.0 month-old) were vortexed, sonicated 3 x 5 seconds (LabSonic, B. Braun Biotech International GmbH, 0.5 mm diameter sonotrode, cycle 1, amplitude 80%), and centrifuged at 3,000 x g for 5 min. The supernatant (brain extract) was collected and stored at -80 °C until use. Host mice were 3- to 4-month-old female APP23 mice (n=3-6 per group). The mice were anaesthetized with a mixture of ketamine (100 mg/kg body weight) and xylazine (10 mg/kg body weight) in saline. 2.5  $\mu$ l brain extract were bilaterally injected into the hippocampus (AP -2.5 mm, L +/-2.0 mm, DV -1.8 mm) with a Hamilton syringe. Injections were performed at 1.25  $\mu$ l/minutes, and the needle was kept in place for additional 2 minutes before being slowly withdrawn. The surgical area was cleaned with sterile PBS, the incision was sutured, and the mice were maintained under infrared light and monitored until recovery from anesthesia.

#### Passive AB immunization

APP23 mice inoculated with A $\beta$  seeds containing brain extract, 6-months-old male APP23, and 12-months-old female APP51 mice were immunized intraperitoneally (i.p.) with 0.5 mg mouse monoclonal immunoglobulin IgG2a antibody Beta-1 ( $\beta$ 1) or a control IgG2a antibody (anti-wheat germ agglutinin) once daily for five consecutive days. 12-months-old male APP51 mice were injected i.p. with 2 mg  $\beta$ 1 or control antibody five times once a week. The  $\beta$ 1 antibody recognizes amino acids 3-6 of human A $\beta$  and has been shown to be effective *in vivo* in a passive immunization paradigm (Meyer-Luehmann et al. 2006; Pfeifer et al. 2002).

#### **BACE** inhibitor treatment

6-month-old male APP23 mice (Figure 3 and 4) as well as 12-month-old female (Figure 5) and male (Figure 6) APP51 mice were fed with food pellets containing the BACE inhibitor NB-360 (0,5 g inhibitor / kg food pellets; Novartis, Basel, Switzerland (Schelle et al., 2017; Shimshek et al. 2016; Bacioglu et al. 2016; Neumann et al. 2015) for six weeks, respectively. Age-matched control mice received control pellets without the drug for the same time period. For the short-term experiments (Figure 3 and 5), NB-360-containing food pellets were replaced by control pellets three days before preparation except for groups 3 and 4 in Figure 3 that received NB-360-containing food pellets until preparation. Food pellets were available *ad libitum* until the day of preparation.

#### **Brain tissue harvesting**

Mice were anesthetized with ketamine (100 mg/kg body weight) and xylazine (10 mg/ml) and sacrificed by transcardial perfusion with ice-cold PBS. Brains were removed and one hemisphere was snap-frozen on dry ice and stored at -80 °C until use. The other hemisphere was immersion-fixed in 4% paraformaldehyde in PBS, pH 7.6, at 4 °C for 48 hours, cryoprotected in 30% sucrose in PBS at 4 °C for additional 48 hours, frozen in 2-methylbutane, and stored at -80 °C until use.

#### Brain tissue homogenization and extraction

Forebrains (hemispheres without cerebellum) from APP23 and APP51 mice were homogenized at 10% (w/v) in PBS or homogenization buffer (50 mM Tris pH 8.0, 150 mM NaCl, 5 mM EDTA and protease/phosphatase inhibitor cocktail from Thermo Scientific) twice at 5500 rpm and 4 °C for 10 sec using 7 ml lysing tubes with 2.8 mm ceramic beads and a tissue homogenizer (Precellys Bertin, Montigny-le-Bretonneux, France). The homogenized brain tissue was aliquoted and stored at -80 °C until use.

For Aβ measurements the brain homogenates were either extracted using formic acid (FA) or measured directly after centrifugation. For the FA-extraction aliquots were thawed on ice, mixed 1:3.2 with cold FA (min. 96% purity, Sigma, St. Louis, MO, USA), sonicated on ice for 35 seconds, and spun at 25,000 x g at 4 °C for one hour. The supernatant was collected as the "FA-soluble fraction" and equilibrated (1:20) in neutralization buffer (1M Tris base, 0.5M Na<sub>2</sub>HPO<sub>4</sub>, 0.05% NaN<sub>3</sub>). For direct measurement (grey bars in Figure 2) aliquots were thawed on ice and spun at 25,000 x g at 4 °C for one hour. Supernatant was pipetted into a new tube and used for the measurement.

### Ultrasensitive sandwich immunoassay for $A\beta$ measurements in brain extracts of predepositing mice

A $\beta$ 40 and A $\beta$ 42 concentrations in brain extracts from 1-8 month-old APP23 mice (Figure 2) were determined with an ultrasensitive bead based immunoassay using the human A $\beta$ 40 and human A $\beta$ 42 assay on the Simoa platform (Quanterix, Lexington, MA, USA). FA-soluble brain extracts were diluted 1:16 in sample diluent (Quanterix) for measurement of A $\beta$ 40 and 1:4 for A $\beta$ 42 measurements. 25.000 x g supernatants of total brain extracts were diluted 1:64 for direct measurements of A $\beta$ 40 and 1:32 for direct measurements of A $\beta$ 42 in the same buffer. FA-soluble total brain extracts from APP knockout and wildtype mice served as samples for testing specificity and were diluted 1:2 in A $\beta$ 40 and A $\beta$ 42 sample diluent for measurement of A $\beta$ 40 and A $\beta$ 42, respectively. Samples were measured on a Simoa HD-1 Analyzer (Quanterix) using the provided assay protocol for human A $\beta$ 40 and human A $\beta$ 42 assay. Data analysis was done using the Simoa software (Quanterix). A $\beta$ 40 and A $\beta$ 42 control samples in the low, mid, and high assay range served as a control and were measured in every A $\beta$ 40 and A $\beta$ 42 assay respectively.

#### Aβ measurement in brain extracts of depositing mice

A $\beta$ 40 (A $\beta_{x-40}$ ) and A $\beta$ 42 (A $\beta_{x-42}$ ) concentrations in brain extracts from APP tg mice were determined with an electrochemiluminescence-linked immunoassay using the V-PLEX A $\beta$  Peptide Panel 1 (4G8) Kit (Meso Scale Discovery, Gaithersburg, MD, USA). FA-soluble total brain extracts were diluted up to 1:10 in buffer (Diluent 35, Meso Scale Discovery) before measurement. Data analysis used MSD® DISCOVERY WORKBENCH® software 2.0. Internal reference samples were used as a control in every plate.

#### Aβ immunohistochemical analysis

After freezing, fixed brains were cut into serial, 25 μm-thick coronal sections using a freezing-sliding microtome. The sections were collected in tissue cryoprotection solution (35% ethylene glycol, 25% glycerol in PBS) and stained immunohistochemically with a customized polyclonal anti-Aβ-antibody and the Vectastain Elite ABC kit (Vector Laboratories, Burlingame, CA) as previously described (Eisele et al. 2010). Sections were counterstained with Congo red for amyloid according to standard protocols. Representative images are shown in Figure 1 for the two different treatment groups.

#### Quantification of total AB plaque load

In mice inoculated with A $\beta$  seeds A $\beta$  plaque load was stereologically quantified in the neocortex of representative sections of an A $\beta$ -immunostained set of every 12<sup>th</sup> systematically sampled, serial, coronal section. A microscope equipped with a motorized x-y-z stage coupled to a video-microscopy system and the Stereo Investigator software (MicroBrightField, Inc., Williston, VT, USA) was used as previously described (Bondolfi et al. 2002). The person who performed the analysis was blind to the treatment groups. The total A $\beta$  load (percentage) was determined by calculating the areal fraction occupied by A $\beta$ -positive staining in two-dimensional sectors (20×/0.45 objective).

For the rest of the mice the number of  $A\beta$  positive stainings was counted manually in representative sections of an  $A\beta$ -immunostained set of every  $12^{th}$  systematically sampled, serial, coronal section using a 10x objective (0.30 numerical aperture) and a Zeiss Axioskop 2 microscope (Zeiss, Oberkochen, Germany).

#### **Statistical Analysis**

GraphPadPrism (version 6.0) was used for statistical analyses and graphics. Normal distribution was assessed using the Shapiro-Wilk test. Non-normal-distributed variables were logarithmic-transformed. In all cases statistical significance was set at P < 0.05. All values are expressed as means  $\pm$  SEM.

#### **RESULTS**

# Passive immunization targeting early exogenously applied $A\beta$ seeds decreases cerebral $\beta\text{-amyloidosis}$

We have previously reported that the A $\beta$  specific antibody  $\beta1$  mixed with an APP23 brain extract can effectively attenuate amyloid induction (Meyer-Luehmann et al. 2006). To investigate whether immunotherapy using the  $\beta1$  antibody to remove A $\beta$  seeds at early stages prevents the induction of cerebral  $\beta$ -amyloidosis we immunized young pre-depositing APP23 mice with the  $\beta1$  antibody after intrahippocampal inoculation with amyloid-laden brain extracts of aged APP23 animals. Once daily for the first five consecutive days starting three hours post A $\beta$  seed inoculation mice were intraperitoneally injected with either the  $\beta1$  or a control antibody (Figure 1 A). Four months later immunohistochemical analyses revealed

less induced  $A\beta$  deposition in APP23 host mice that were injected with the  $\beta1$  antibody compared to control animals (Figure 1 B, C). Stereological quantification revealed a significant reduction by 77% (Figure 1 D) indicating that an early inactivation of  $A\beta$  seeds by anti- $A\beta$  immunotherapy might be an effective paradigm to prevent amyloid formation.

## BACE inhibition combined with A $\beta$ immunization target initial endogenous A $\beta$ seeds in APP23 mice

In order to translate our findings in immunized mice injected with exogenously applied  $A\beta$  seeds to targeting strategies of endogenous  $A\beta$  seeds we used young pre-depositing APP23 mice. We first set out to define the pre-depositing phase of APP23 animals to narrow down the time of putative first  $A\beta$  seed generation. Brain  $A\beta$  levels of 1-8 month-old APP23 mice were measured with and without formic acid (FA) extraction to reveal the age when first FA-soluble  $A\beta$  seeds become apparent. While the omission of FA did not reveal any difference in cerebral  $A\beta$ 40,42 levels of 1-8 month-old APP23 mouse brain extracts, the FA-soluble fraction of the same samples showed a significant increase in brain  $A\beta$  concentration with age (Figure 2). After seven months of age first significant increases in FA soluble brain  $A\beta$  or  $A\beta$  seeds could be measured compared to younger animals.

Based on those results we treated pre-depositing APP23 mice with the β1 antibody, a potent BACE inhibitor NB-360 (0,5 g inhibitor / kg food pellets; Novartis, Basel, Switzerland (Shimshek et al. 2016; Bacioglu et al. 2016; Neumann et al. 2015), or a combination of both for a short but defined time starting at six months of age before significant Aβ seeds became apparent (Figure 3 A). The β1 antibody was intraperitoneally injected once daily for the first five consecutive days in accordance to the previous study. The BACE inhibitor was applied in food pellets either for 45 days until preparation (group 3 and 4) or for 42 days until replacement by control pellets three days before preparation (group 5 and 6). Already 24 hours after administration NB-360 is not detectable anymore in blood and brain and therefore soluble Aß levels are in a physiological range again (Neumann et al. 2015). A control group of mice received a control antibody as well as control food pellets. After 45 days all the mice were prepared. Mice that received until preparation the BACE inhibitor alone or in combination with β1 showed significantly less brain Aβ40,42 levels compared to control littermates or mice only treated with \( \beta 1 \) (Figure 3 B). Similar results were obtained in NB-360-treated and NB-360 and β1-treated mice that were fed with control food pellets three days before preparation. The slight tendency towards less brain Aβ40,42 in mice that received the BACE inhibitor until preparation compared to those animals whose food pellets were changed to control ones after 42 days can be explained by acutely decreased soluble  $A\beta$  levels due to the presence of NB-360. Soluble  $A\beta$  levels are expected to normalize after three days of NB-360 absence in the administered food pellets.  $A\beta$  immunohistochemistry revealed more than 75% less positive  $A\beta$  staining in NB-360-treated mice with  $\beta$ 1 immunization (group 4 and 6) or without  $\beta$ 1 treatment (group 5) in comparison to mice treated with only the  $\beta$ 1 or a control antibody (Figure 3 C). Because of the small number of animals and one biological outlier the number of  $A\beta$  positive staining was only reduced by 60% in mice treated with  $\beta$ 1 in addition to NB-360 for 45 days (group 3). Control and only  $\beta$ 1-treated groups did not differ. Therefore a combinational treatment based on NB-360 application and  $\beta$ 1 immunization seems to be the best strategy to prevent the development of first detectable  $A\beta$  seeds.

#### Interference with initial Aβ seeds delays plaque deposition in APP23 mice

To evaluate the long-term effect of our combination treatment we provided 6 month-old APP23 mice with the NB-360 pellets for 45 days and injected the  $\beta1$  antibody once daily for the first five consecutive days (Figure 4 A). Afterwards the mice were incubated for another 4.5 months without any treatment. Control littermates received control food pellets as well as a control antibody. Control mice sacrificed already after 10.5 months of age were used as a baseline group. In NB-360 and  $\beta1$  treated animals brain A $\beta40,42$  was kept on baseline level (Figure 4 B). Furthermore the age-dependent increase in amyloid plaque formation was completely prevented after combination treatment at early stages of deposition (Figure 4 C).

## BACE inhibition combined with A $\beta$ immunization target initial endogenous A $\beta$ seeds in APP51 mice

APP51 mice do not harbor the Swedish mutation which causes higher affinity of BACE1 for APP and therefore less potency of a BACE inhibitor (Citron 2002; Bodendorf et al. 2002; Vassar et al. 1999) but express human wildtype APP. Hence, similar to our experiments in APP23 mice pre-depositing APP51 mice were treated with NB-360 containing food pellets for 42 days, the  $\beta$ 1 antibody for the first five consecutive days, or a combination of both (Figure 5 A). To diminish the acute BACE inhibitor effect NB-360-containing food pellets were exchanged by control pellets three days before preparation. Control pellets and control antibody were applied to littermates. Biochemical analyzes revealed significantly reduced brain A $\beta$ 40,42 concentrations (Figure 5 B) as well as A $\beta$  positive staining (Figure 5 C) in

groups of mice that received NB-360 either alone or in addition to the  $\beta1$  antibody when compared to control mice. Immunization with the  $\beta1$  antibody alone did not differ from control littermates.

#### Interference with initial Aβ seeds delays plaque deposition in APP51 mice

In order to establish whether our combination treatment in APP51 mice at the beginning of seed formation effects the further development of amyloid deposition we again fed mice the NB-360 pellets for 45 days and immunized the mice using the  $\beta1$  antibody (Figure 6 A). However, in this experiment 2 mg  $\beta1$  antibody were applied once weekly to increase the probability to target arising A $\beta$  seeds rather than residual soluble A $\beta$ . Control mice, fed with control food pellets and injected with a control antibody, were either sacrificed after 18 or 16.5 months of age as baseline group. A $\beta40,42$  concentrations in the brains of 18 month-old control mice were elevated compared to 1.5 months younger animals. Early NB-360 and  $\beta1$  treatment completely blocked this age-dependent increase in brain A $\beta$  (Figure 6 B). Similar results were obtained with regards to A $\beta$  immunohistochemistry. Early combination treatment prevented the age-dependent increase in plaque formation (Figure 6 C).

#### **DISCUSSION**

To date estimated 40 million patients suffer from AD worldwide. So far treatments for AD induce relatively rapid but only temporary amelioration of the symptoms without slowing the decline of cognitive functions over years. Approved symptomatic drugs include acetyl cholinesterase inhibitors and a glutamate antagonist, memantine, and have become the standard of care for AD patients (Ising et al. 2015). However, disease-modifying compounds are highly needed in order to slow disease progression without major side effects. Recent therapeutic approaches for AD have been focused on anti-amyloid agents targeting A $\beta$  as the key trigger of the disease. Genetics of the human disease including inherited missense mutations of APP (Hardy & Selkoe 2002) and presenilin 1 or 2 causing familial forms of AD (De Strooper et al. 1998), A $\beta$  seed inoculation experiments in APP tg mice inducing cerebral  $\beta$ -amyloidosis (Meyer-Luehmann et al. 2006; Walker et al. 2002; Kane et al. 2000), and several fluid and neuroimaging biomarker studies (Villemagne et al. 2013; Bateman et al. 2012; Buchhave et al. 2012; Jack et al. 2010) support the amyloid cascade hypothesis proposing a key role for A $\beta$  dyshomeostasis in initiating AD (Selkoe & Hardy 2016; Hardy

& Selkoe 2002). Specific reasons for the failures of therapeutic agents targeting Aß in recent clinical trials are mainly based on insufficient safety standards, relative imprecision of a clinical diagnosis of AD, and the mild to moderate clinical stage of AD patients (Selkoe 2013). Recent biomarker analyses of a familial AD cohort suggest that Aβ42 levels in CSF begin to decline 25 years before the onset of the expected abnormalities on standard cognitive tests for dementia (Bateman et al. 2012). This is followed by the appearance of fibrillar amyloid deposits in the brain and increased CSF tau levels. Given that pathological alterations occur long before diagnosis of dementia, anti-amyloid approaches seem to be clinically feasible when applied before the onset of significant neurodegeneration. In this study we used the A $\beta$  specific antibody  $\beta$ 1 (Meyer-Luehmann et al. 2006; Pfeifer et al. 2002) to bind and clear exogenously applied AB seeds and therefore ameliorate the induction and propagation of Aβ aggregation in APP tg mice after several months. We then transferred this passive Aβ immunization approach to targeting strategies of early endogenous Aβ seeds and could not detect significant AB reductions in brain of young APP tg mice. These results suggest that endogenous A $\beta$  seeds are different from exogenously applied A $\beta$  seeds. Reasons may be that the exogenously applied seeds end up in a cellular department more accessible to antibodies, or that initial endogenous seeds have different conformational features compared to the seeds extracted from an aged amyloid-laden brain. Support for the latter interpretation comes from recent findings that the most seeding active A $\beta$  aggregates occur at early stages of the amyloid formation (Ye et al., under review). Another explanation for the ineffectiveness of the  $\beta$ 1 antibody in targeting endogenous A $\beta$  seeds might be that the ratio of Aβ seeds and soluble Aβ species is much higher in mice inoculated with APP tg brain material compared to young pre-depositing APP tg mice. Therefore the β1 antibody was combined with a BACE inhibitor, which is supposed to block the amyloidogenic pathway of APP processing and therefore lowers the production of soluble AB monomers. In two different APP tg mouse models we show that a short combinational treatment applied at a defined pre-depositing stage significantly decreased both AB seeds acutely and amyloid deposition even after discontinuation of the treatment for several months. Although APP harboring the Swedish mutation is known to increase BACE1 cleavage of APP (Citron 2002; Bodendorf et al. 2002; Vassar et al. 1999) and should therefore lower the potency of a drug targeting this enzyme our results revealed similar reductions in amyloid pathology independent of the presence of the Swedish mutation. While chronic BACE inhibition or Aβ immunotherapy can evoke adverse neurochemical effects our short therapeutic intervention seems to be a promising therapeutic approach in order to safely delay the onset of cerebral βamyloidosis. Thus, interference with the early phase of amyloid formation by targeting initial  $A\beta$  seeds might be an effective paradigm to prevent cerebral  $\beta$ -amyloidosis and eventually AD. The preventive effect of the combined anti-amyloid agents in mice expressing human APP harboring the Swedish double mutation as well as in human wildtype APP tg mice suggests the potential efficacy of the short and early treatment in not only familial AD patients but also sporadic AD cases.

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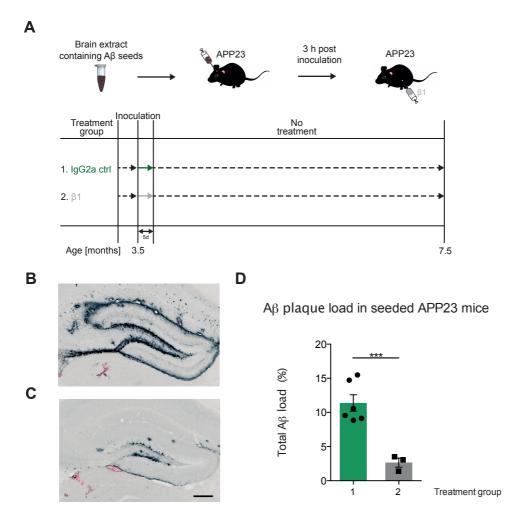
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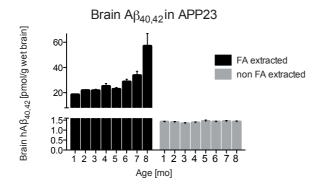
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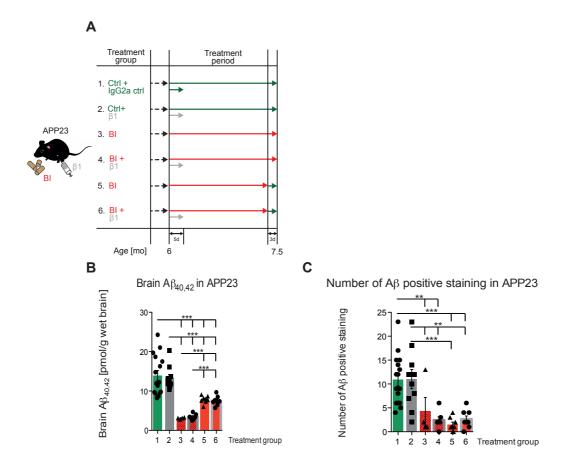
#### **FIGURES**



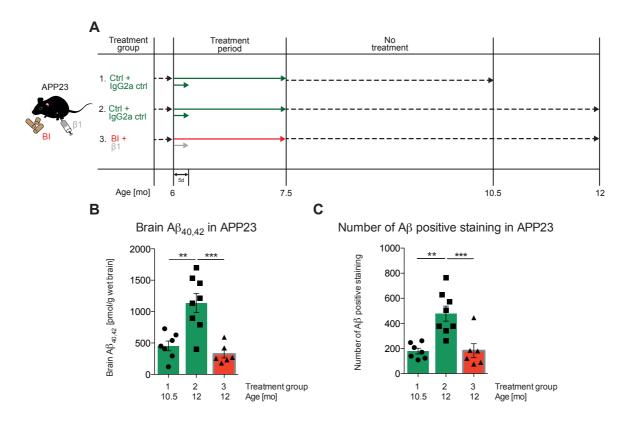
**Figure 1:** Short and early anti-A $\beta$  immunization attenuates induced cerebral  $\beta$ -amyloidosis. Female APP23 mice (3-4 months of age; 3-6 mice per group) were intracerebrally injected with amyloid-laden brain extract of aged APP23 mice and intraperitoneally immunized once daily for five consecutive days starting three hours post inoculation. Aß seed inoculated mice were either injected with 0.5 mg of the Aβ specific antibody Beta-1 (β1) or 0.5 mg of an IgG2a control antibody (IgG2a ctrl), respectively. **Brains** were analyzed immunohistochemically four months after intracerebral injection. (A) Schematic overview of experimental design. (B, C) Shown are representative sections of the dentate gyrus of the hippocampus for IgG2a ctrl (B) or β1-injected mice (C) (scale bar is 200 μm). (D) Stereological quantification of hippocampal A\beta immunostaining (A\beta load) exhibited significantly less induced Aβ deposition after β1 treatment compared to control mice (t-test t<sub>7</sub> = 6.207, \*\*\*P < 0.001). All data are represented as group means  $\pm$  SEM.



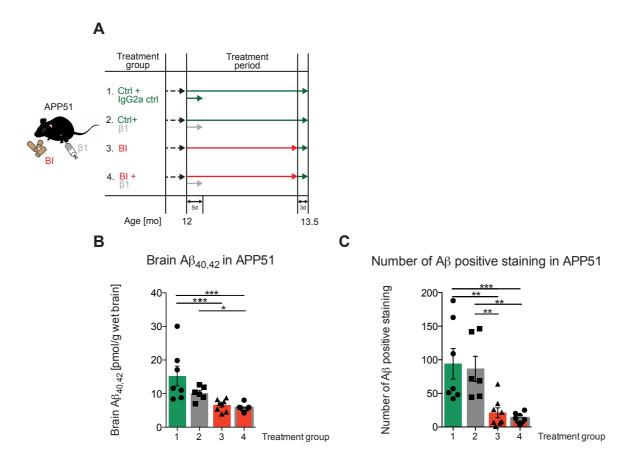
**Figure 2:** *FA-soluble Aβ seeds increase in APP23 mice at 7 months of age.* 1–8 month-old male APP23 mice (6–7 mice per group) were analyzed regarding their brain Aβ40,42 levels with and without formic acid (FA) extraction. Two-way ANOVA revealed significant age x extraction interaction ( $F_{7,45} = 14.1$ , P < 0.001). Notably, Tukey's *post hoc* test revealed that non-FA extracted brain homogenates did not differ, but FA-extracted brain samples of seven and eight month-old mice showed significantly increased brain Aβ40,42 levels compared to younger animals (P < 0.001). All data are represented as group means ± SEM.



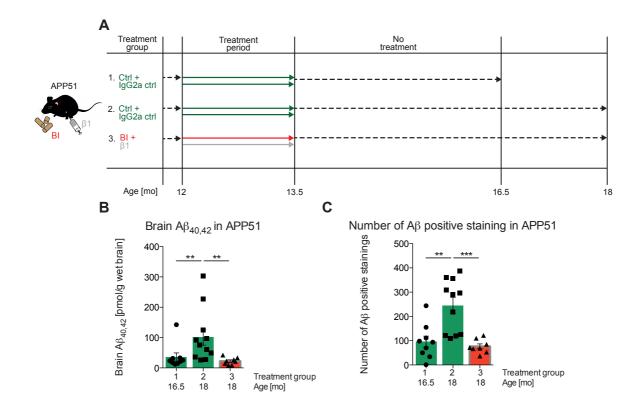
**Figure 3:** *Passive immunization combined with BACE inhibition reduces brain Aβ levels and plaque deposition in APP23 mice.* Six month-old male APP23 mice (6–8 mice per group) were either intraperitoneally injected with the Aβ specific antibody Beta-1 (β1) once daily for five consecutive days (group 2), fed with BACE inhibitor NB-360-containing pellets (BI) for 45 (group 3) or 42 days (group 5), or received a combination of 45 day NB-360 and five days β1 (group 4) or 42 days NB-360 and five days β1 (group 6). Mice of group 5 and 6 were supplied with control food pellets three days before preparation. Control mice were injected with a control IgG2a antibody (IgG2a ctrl) and fed with control food pellets (Ctrl) (group 1). All mice were sacrificed at 7.5 months of age. (A) Schematic overview of experimental design. (B) Measurements of Aβ levels in brain exhibited a significant decrease in mice treated with only NB-360 or a combination of β1 and NB-360 independent of the duration of NB-360 administration compared to control or only β1-treated animals (ANOVA  $F_{5, 47} = 51.36$ , P < 0.0001; Tukey's *post hoc* test \*\*P < 0.001). (C) Quantification of cerebral Aβ immunostaining revealed a similar result (ANOVA  $F_{5, 43} = 12.36$ , P < 0.0001; Tukey's *post hoc* test \*\*P < 0.001, \*\*\*P < 0.001). All data are represented as group means ± SEM.



**Figure 4:** *Targeting early Aβ seeds delays cerebral β-amyloidosis in APP23 mice.* 6 monthold male APP23 mice (6–8 mice per group) were intraperitoneally injected with the Aβ specific antibody Beta-1 (β1) once daily for five consecutive days and were additionally fed with BACE inhibitor NB-360-containing pellets (BI) for 45 days. Littermates were immunized with control IgG2a antibody (IgG2a ctrl) and fed with control food pellets (Ctrl). After 45 days until preparation standard food pellets were supplied. NB-360 and β1-treated animals as well as one control group was prepared after 12 months of age. (**A)** Schematic overview of experimental design. (**B)** Brain Aβ level measurements revealed an age-dependent increase in 12 month-old compared to 10.5 month-old animals, which could be completely blocked by NB-360 and β1 treatment (ANOVA  $F_{2,18} = 12.01$ , P < 0.001; Tukey's *post hoc* test \*\*P < 0.01, \*\*\*P < 0.001). (**C)** Immunohistochemistry confirmed those results. NB-360 and β1-treated animals showed similar amounts of Aβ positive staining in the brain than 1.5 months younger controls (ANOVA  $F_{2,18} = 13.01$ , P < 0.001; Tukey's *post hoc* test \*\*P < 0.01, \*\*\*P < 0.001). All data are represented as group means ± SEM.



**Figure 5:** Passive immunization combined with BACE inhibition reduces brain  $A\beta$  levels and plaque deposition in APP51 mice. 12 month-old female APP51 mice (6–8 mice per group) were either intraperitoneally injected with the Aβ specific antibody Beta-1 (β1) once daily for five consecutive days, fed with BACE inhibitor NB-360-containing pellets (BI) for 42 days, or received a combination of both treatments. Control mice were injected with a control IgG2a antibody (IgG2a ctrl) and fed with control food pellets (Ctrl). All mice were sacrificed with 13.5 months of age and supplied with control food pellets three days before preparation. (**A**) Schematic overview of experimental design. (**B**) Measurements of Aβ levels in brain exhibited a significant decrease in mice treated with only NB-360 or a combination of the β1 antibody and NB-360 compared to control animals (ANOVA  $F_{3, 25} = 13.15$ , P < 0.001; Tukey's post hoc test \*P < 0.05, \*\*\*P < 0.001). (**D**) Quantification of cerebral Aβ immunostaining revealed that control mice had 78% and 86% more positive Aβ staining than NB-360 or NB-360 and β1-treated animals, respectively (ANOVA  $F_{3, 25} = 11.64$ , P < 0.001; Tukey's post hoc test \*\*P < 0.01, \*\*\*P < 0.001). All data are represented as group means ± SEM.



**Figure 6:** *Targeting early Aβ seeds delays cerebral β-amyloidosis in APP51 mice.* 12 monthold male APP51 mice (8–11 mice per group) were intraperitoneally injected with the Aβ specific antibody Beta-1 (β1) once weekly for five times and were additionally fed with BACE inhibitor NB-360-containing pellets (BI) for 45 days. Littermates were immunized with control IgG2a antibody (IgG2a ctrl) and fed with control food pellets (Ctrl). After 45 days until preparation standard food pellets were supplied. NB-360 and β1-treated animals as well as one control group was prepared after 18 months of age. **(A)** Schematic overview of experimental design. **(B)** Brain Aβ level measurements revealed an age-dependent increase in 18 month-old compared to 16.5 month-old animals, which could be completely blocked by NB-360 and β1 treatment (ANOVA  $F_{2,25} = 9.468$ , P < 0.001; Tukey's *post hoc* test \*\*P < 0.01). **(C)** Immunohistochemistry confirmed those results. NB-360 and β1-treated animals showed similar amounts of Aβ positive staining in the brain than 1.5 months younger controls (ANOVA  $F_{2,25} = 12.70$ , P < 0.001; Tukey's *post hoc* test \*\*P < 0.001). All data are represented as group means ± SEM.

## 4. Appendix

### 4.1 Abbreviations

A4	Anti-Amyloid Treatment in Asymptomatic Alzheimer's Disease trial
ABCA 7	ATP-binding cassette sub-family A member 7
ACh	Acetylcholine
AD	Alzheimer's disease
ADAD	Autosomal-dominant AD
ADAM	A disintegrin and metalloprotease
AICD	APP intracellular domain
ALS	Amyotrophic lateral sclerosis
Aph-1	Anterior pharynx-defective phenotype 1
API	Alzheimer's Prevention Initiative
APOE	Apolipoprotein E
APOE4	ε4-allele of the APOE
APP	β-amyloid precursor protein
APP <sub>695</sub> , APP <sub>751</sub> , APP <sub>770</sub>	APP isoforms 695, 751, 770 amino acids in length
APPSwedish	K670M/N671L-mutated human APP
Αβ	Amyloid-β peptide
$A\beta_{40}, A\beta_{42}$	Aβ ending at amino acid 40, 42
Αη	Amyloid-η peptide
β1	Beta-1 antibody
BACE1, BACE2	β-site APP cleaving enzyme 1, 2
BBB	Blood-brain-barrier
BIN1	Bridging integrator protein 1
BSE	Bovine spongiform encephalopathy
C-terminal	Carboxyterminal
CD33	Member of the sialic-acid-binding immunoglobulin-like lectins family
CJD	Creutzfeldt-Jakob disease

CNS	Central nervous system
CR1	Complement receptor 1
CSF	Cerebrospinal fluid
CTF	C-terminal fragment
CTFα/C83	CTF generated by α-secretase cleavage of APP (83 amino acids in length)
CTFβ/C99	CTF generated by β-secretase cleavage of APP (99 amino acids in length)
СТГη	CTF generated by η-secretase cleavage of APP (30 amino acids in length)
CWD	Chronic wasting disease
DIAN	Dominantly Inherited Alzheimer Network
DIAN-TU	DIAN Trials Unit
dpi	Days post inoculation
DSM-V	Diagnostic and Statistical Manual of Mental Disorders
EDTA	Ethylenediaminetetraacetic acid
ELISA	Enzyme-linked immunosorbent assay
EOAD	Early onset Alzheimer's disease
FA	Formic acid
FAD	Familial Alzheimer's disease
FDA	Food and Drug Administration
FDG	<sup>18</sup> F-fluorodeoxyglucose
FFI	Fatal familial insomnia
GSS	Gerstmann-Sträussler-Scheinker disease
GWAS	Genome-wide association studies
HIV	Human immunodeficiency virus
i.p.	Intraperitoneal
LOAD	Late onset Alzheimer's disease
MAPT	Microtubule associated protein tau
MCI	Mild cognitive impairment
MRI	Magnetic resonance imaging
NCT	Nicastrin

NFTs	Neurofibrillary tangles
NMDA	N-methyl-D-aspartate
NINCDS/ ADRDA	National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association
p3	Product of the APP processing
PiB	Pittsburgh compound B
PBS	Phosphate-buffered saline
PD	Parkinson's disease
Pen-2	Presenilin enhancer 2
PET	Positron emission tomography
PICALM	Phosphatidylinositol-binding clathrin assembly protein
PK	Proteinase K
Prion	Proteinaceous infectious particle
PrP	Prion protein
PS1/2	Presenilin 1/2
PSEN1, PSEN2	Gene encoding presenilin 1, 2
p-tau	Phosphorylated tau
sAPP	Soluble amyloid precursor protein
sAPPα	sAPP generated by $\alpha$ -secretase
sAPPβ	sAPP generated by β-secretase
SEM	Standard error of the mean
SIMOA	Single molecule array
SORL1	Sortilin-related receptor 1
tg	Transgenic
Thy1	Thymocyte differentiation antigen 1
TOMM40	Translocase of outer mitochondrial membrane 40 homolog
TREM2	Triggering receptor expressed on myeloid cells 2
t-tau	Total tau
wt	Wild type

### 4.2 Curriculum Vitae

Juliane Schelle	Stöcklestr. 10	
* 08.09.1988	72070 Tübingen, Germany	
Place of birth: Berlin	E-mail: julischelle@gmail.com	
Nationality: German	Phone: +49 176 56526255	
_	Education	
12/2013 – now	PhD       position       at       Hertie-Institute       for       Clinical       Brain       Research,         University       Tübingen;       German       Center       for Neurodegenerative       Diseases         (DZNE),       Germany         PhD       thesis: "Initial       A $\beta$ seeds       as       therapeutic       target       for       Alzheimer's         disease"	
09/2011 - 09/2013	Master of Science (1.3) at Eberhard-Karls University Tübingen, Graduate School of Cellular and Molecular Neuroscience, Germany Field of study: Cellular and Molecular Neuroscience	
08/2012 - 01/2013	Semester abroad (A) at San Diego State University, USA	
10/2008 - 07/2011	Bachelor of Science (1.5) at Philipps University Marburg, Germany	
	Field of study: Biomedical Science / Human biology with focus on neurobiology	
	Additional information	
09/2015 – now	Student supervision during lab rotation, master thesis, and PhD	
12/2013 - 03/2016	PhD student representative of Graduate School for Cellular and Molecular Neuroscience	
WT 2015/16, 16/17	Organizer, lecturer, and supervisor for block course "Neurohistology/pathology and Neuromorphology" for Master students	
2014 – now	Organization and supervision of laboratory visits	

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- 7. International conference on Alzheimer's and Parkinson's Diseases, Nice, France (2015).